# **VASIMR®:** Deep Space Transportation for the 21st Century

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Recent exhaust plume measurements and plasma physics results are discussed related to the development of the Variable Specific Impulse Magnetoplasma Rocket (VASIMR®) VX-200 engine, a 200 kW flight-technology prototype. Results from high power Helicon only and Helicon with ICH experiments are presented from the VX-200 using argon propellant. Total VX-200 system efficiencies are presented from recent results with 200 kW of RF power. A two-axis translation stage has been used to survey the spatial structure of plasma parameters, momentum flux and magnetic perturbations in the VX-200 exhaust plume. These recent measurements of axial plasma density and ambipolar potential profiles, magnetic field-line shaping, charge exchange, and force measurements were made within a new 150 cubic meter cryo-pumped vacuum chamber and are presented in the context of plasma detachment. A semi-empirical model of the thruster efficiency as a function of specific impulse was developed to fit the experimental data, and reveals an ICH RF power coupling efficiency of 89%. The thruster performance at 200 kW is  $72 \pm 9\%$ , the ratio of effective jet power to input RF power, with an Isp =  $4900 \pm 300$  seconds. The thrust increases steadily with power to  $5.8 \pm 0.4$  N until the power is maximized and there is no indication of saturation. Comparisons of the plasma flux to magnetic flux in the plume show evidence that the plasma flow does not follow the magnetic field at distances downstream on the order of 2 m. The plume is more directed when the ions are significantly accelerated. The planned ISS flight test of the VASIMR® VF-200 Aurora experiment is discussed.

### **Nomenclature**

 $\eta_A$  = ICH coupler efficiency  $\eta_b$  = ion coupling efficiency

f = frequency

 $f_{ci}$  = ion cyclotron frequency

F = ion velocity phase space distribution function

 $\Gamma_i$  = total ion flux  $I_{sp}$  = specific impulse

 $L_A$  = inductance of the ICH coupler

 $L_M$  = inductance of the ICH coupler matching network

 $m_{doti}$  = mass flow rate

 $P_{plasma}$  = ICH RF power broadcast into plasma  $P_{ion}$  = ICH RF power coupled into ions  $P_{ICH}$  = ICH RF power into coupler

 $Q_c$  = quality factor of the ICH coupler circuit

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 $R_c$  = resistance of the ICH coupler circuit  $R_p$  = plasma loading of the ICH coupler

 $\Theta$  = pitch angle

 $v_{ICRF}$  = exhaust plasma flow velocity with ICH on  $v_{helicon}$  = exhaust plasma flow velocity with helicon only

 $VSWR_{plasma}$  = voltage standing wave ratio of the ICH coupler, with plasma present  $VSWR_{vacuum}$  = voltage standing wave ratio of the ICH coupler, with no plasma present

 $W_{ICH}$  = mean ion energy increase owing to ICH

 $\omega$  = angular frequency

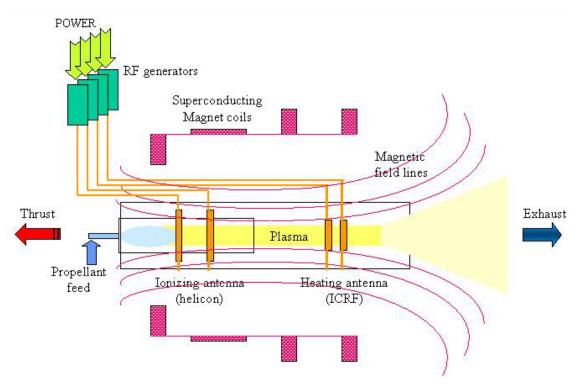


Figure 1. Cartoon block diagram of the VASIMR<sup>TM</sup> system, illustrating the basic physics.

## I. Introduction

THE exploration of the solar system will be one of the defining scientific tasks of the new century. One of the obvious challenges faced by this enterprise is the scale size of the system under study,  $10^{11}$  -  $10^{14}$  m. Over distances on this scale and given the performance of present day rockets, the mission designer is faced with the choice of accepting multi-year or even decadal mission time lines, paying for enormous investment in rocket propellant compared to useful payload, or finding a way to improve the performance of today's chemical rockets. For human space flight beyond Earth's orbit, medical, psychological, and logistic considerations all dictate that drastic thruster improvement is the only choice that can be made. Even for robotic missions beyond Mars, mission time lines of years can be prohibitive obstacles to success, meaning that improvements in deep space sustainer engines are of importance to all phases of solar system exploration<sup>1</sup>.

Better thruster performance can best be achieved by using an external energy source to accelerate or heat the propellant<sup>2,3</sup>. High-power electric propulsion thrusters can reduce propellant mass for heavy-payload orbit-raising missions and cargo missions to the Moon and near Earth asteroids and can reduce the trip time of robotic and piloted planetary missions. <sup>1,4,5,6</sup> The Variable Specific Impulse Magnetoplasma Rocket (VASIMR®) VX-200 engine is an electric propulsion system capable of processing power densities on the order of 6 MW/m² with a high specific impulse and an inherent capability to vary the thrust and specific impulse at a constant power. The potential for long lifetime is due primarily to the radial magnetic confinement of both ions and electrons in a quasi-neutral flowing plasma stream, which acts to significantly reduce the plasma impingement on the walls of the rocket core. High temperature ceramic plasma-facing surfaces handle the thermal radiation, the principal heat transfer mechanism

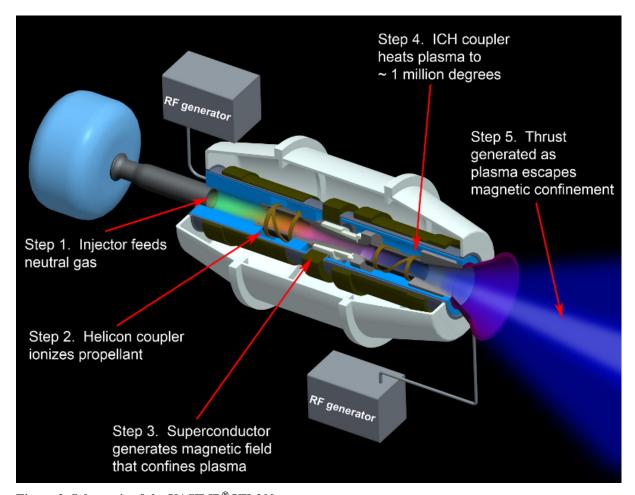


Figure 2. Schematic of the VASIMR® VX-200.

from the discharge. The rocket uses an optimized helicon plasma source<sup>7,8</sup> for efficient plasma production in the first stage. This plasma is energized further by an ion cyclotron heating (ICH) RF stage that uses left hand polarized slow mode waves launched from the high field side of the ion cyclotron resonance. Useful thrust is produced as the plasma accelerates in an expanding magnetic field, a process described by conservation of the first adiabatic invariant as the magnetic field strength decreases in the exhaust region of the VASIMR<sup>®</sup>. <sup>9,10,11</sup> This paper will discuss an experimental investigation of the use of Ion Cyclotron Heating (ICH) to provide an efficient method of electrodeless plasma acceleration in the VASIMR<sup>®</sup> engine. Particular emphasis in this paper will be placed on investigation of the spatial structure of the exhaust plume and recent advances in system performance.

Research on the VASIMR® engine began in the late 1970's, as a spin-off from investigations on magnetic divertors for fusion technology<sup>12</sup>. A simplified schematic of the engine is shown in Figure 1. The VASIMR® consists of three main sections: a helicon plasma source, an ICH plasma accelerator, and a magnetic nozzle<sup>3,13,14,15,16,17</sup>. Figure 2 shows these three stages integrated with the necessary supporting systems. One key aspect of this concept is its electrode-less design, which makes it suitable for high power density and long component life by reducing plasma erosion and other materials complications. The magnetic field ties the three stages together and, through the magnet assemblies, transmits the exhaust reaction forces that ultimately propel the ship.

The plasma ions are accelerated in the second stage by ion cyclotron resonance heating (ICH), a well-known technique, used extensively in magnetic confinement fusion research 18,19,20,21,22. Owing to magnetic field limitations on existing superconducting technology, the system presently favors light propellants. However, the helicon, as a stand-alone plasma generator, can efficiently ionize heavier propellants such as argon and xenon.

An important consideration involves the rapid absorption of ion cyclotron waves by the high-speed plasma flow. This process differs from the familiar ion cyclotron resonance utilized in tokamak fusion plasmas as the particles in

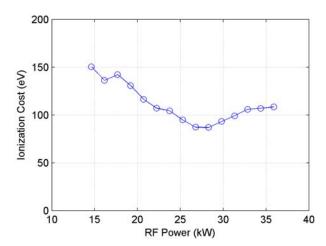


Figure 3. Minimum ionization cost is now  $87 \pm 9$  eV/ion.

VASIMR® pass through the coupler only once 17,23,24,25. Sufficient ion cyclotron wave (ICW) absorption has nevertheless been predicted by recent theoretical studies 26, as well as observed and reported in various conferences and symposia.

Elimination of a magnetic bottle, a feature in the original VASIMR® concept, was motivated by theoretical modeling of single-pass absorption of the ion cyclotron wave on a magnetic field gradient<sup>24</sup>. While the cyclotron heating process in the confined plasma of fusion experiments results in approximately thermalized ion energy distributions, the non-linear absorption of energy in the single-pass process results in a boost, or displacement of the ion kinetic energy distribution. The ions are ejected through the magnetic nozzle before thermal

relaxation occurs.

Natural processes in the auroral region may also exhibit a related form of single pass ICH. "Ion conic" energetic ion pitch angle distributions are frequently observed in the auroral regions of the Earth's ionosphere and magnetosphere 27,28,29,30,31,32,33. It is not relevant to list the entire range of models that have been proposed to account for these observations. Many models propose wave-driven transverse ion acceleration followed by adiabatic upwelling of the distribution 34,35 and references therein. Proposed driver wave modes include current driven electrostatic ion cyclotron (EIC) waves 36,37,38, and electromagnetic ion cyclotron waves 39,40,41, among others. Other mechanisms proposed include interaction with an oblique double layer or dc potential structure 42,43. The fact that ion conics are commonly found on auroral field lines suggests that transverse ion acceleration is a ubiquitous process in auroral arcs 35. Space-borne observations of narrow-band ion-cyclotron waves with unambiguous spectral peaks near the ion cyclotron frequencies are relatively rare 44,45,46,47,48,49,50,51,52. Most studies have found that the most common wave phenomenon found in association with transverse ion acceleration is broad-band ELF noise 35,53,54,55,56. All of these authors suggest that current driven EIC waves make up some or all of the broad-band ELF noise, but they are unable to prove it, even when wavelength measurements are available 47,48. The role of inhomogenieties or shear in reducing the threshold for current-driven EIC instability is suggested as one solution to this problem 48,57. EMIC waves appear to be associated with transverse ion acceleration ~10% of the time 35,41.

In addition to the extensive body of work on the heating of magnetic confinement fusion plasmas that was superficially cited above, there is a thirty year body of theoretical and laboratory work on transverse ion acceleration by current driven EIC modes<sup>34,36,43,58,59</sup>. All of these experiments have typically used current driven EIC waves, parametric decay of lower hybrid waves, or other mode conversion process to launch the required wave field. Direct injection, which is used in VASIMR®, requires the coupler to have good plasma loading in order to launch the waves with useful efficiency, as discussed below. Since the magnetospheric simulation experiments have aimed at simulating EIC driven heating and VASIMR® uses EMIC waves, these prior results have limited application to the VASIMR®. What has been shown of relevance is that acceleration followed by adiabatic folding is a viable mechanism for producing ion conics<sup>34,59</sup>. However, the field ratios employed were an order of magnitude smaller that used in the VASIMR® studies reported here.

VASIMR® has a transverse ion acceleration stage or booster that uses EMIC waves, followed by adiabatic expansion. Simultaneous ambipolar acceleration is also observed in the VASIMR® exhaust plume that may be interpreted as a large-scale double layer<sup>60</sup>. Thus, VASIMR® results may be of interest to proponents of more than one model of ion conic production.

The VASIMR® engine has three major subsystems, the plasma generator stage, the RF "booster" stage and the nozzle, shown in Figures 1 and 2<sup>16</sup>. Laboratory physics demonstrator experiments (VX-50 and VX-100) were developed and tested first at the NASA Johnson Space Center for several years and more recently at the Ad Astra Rocket Company<sup>61,62</sup>. The details of the engine and its design principles have been previously reported<sup>17,63</sup>. The first stage is a helicon discharge that has been optimized for maximum power efficiency (lowest ionization cost in eV/(electron-ion pair). The next stage downstream is the heating system. Energy is fed to the system in the form of a circularly polarized rf signal tuned to the ion cyclotron frequency. ICH heating has been chosen because it

transfers energy directly and largely to the ions, which maximizes the efficiency of the engine  $^{11,12}$ . In the present small-scale test version, there is no mirror chamber and the ions make one pass through the ICH coupler. The system also features a two-stage magnetic nozzle, which accelerates the plasma particles by converting their azimuthal energy into directed momentum. The detachment of the plume from the field takes place mainly by the loss of adiabaticity and the rapid increase of the local plasma  $\beta$ , defined as the local ratio of the plasma pressure to the magnetic pressure.

After 10 years of growth and improvement, the VX-50 had achieved all of the physics test goals that could reasonably be obtained. In October, 2006, the VX-50 was decommissioned and disassembled. In its place, the Ad Astra Rocket Company has built two new machines, the VX-100, which is a laboratory physics demonstrator test bed, and the VX-200, which is a flight-like prototype. The VX-100, a new test bed for the VASIMR® plasma engine, developed by Ad Astra Rocket Company, achieved record performance tests conducted at the company's old Houston laboratory in 2007. The VX-100 test facility, which went into operation in late January of 2007, began to yield reliable experimental data in early February of 2007 and was operated until October 2007.

The VX-200 is a 200kW VASIMR® engine prototype currently in the early stages of the testing phase. The VX-200, completed in May of 2009, is considered by company officials to be the last step before construction of the VF-200 (for VASIMR® flight) series of flight engines planned for space testing in 2014.

The VX-100 and the VX-200 both demonstrated ionization costs below 100 eV/ion (Figure 3). The ionization cost is a measure of the engine's plasma production efficiency with values below 100 being required to ensure efficient operation. Recent tests have focussed on the VASIMR® VX-200 ICH second stage.

For the first time, end-to-end testing of the VX-200 engine has been undertaken with an optimum magnetic field and in a vacuum facility with sufficient volume and pumping to permit exhaust plume measurements at low background pressures. Experimental results are presented with the VX-200 engine installed in a 150 m³ vacuum chamber with an operating pressure below 1x10<sup>-2</sup> Pa (1x10<sup>-4</sup> Torr), and with exhaust plume diagnostics over a range of 5 m in the axial direction and 1 m in the radial directions. Measurements of plasma flux, RF power, and neutral argon gas flow rate, combined with knowledge of the kinetic energy of the ions leaving the VX-200 engine, are used to determine the ionization cost of the argon plasma. A plasma momentum flux sensor (PMFS) measures the force density as a function of radial and axial position in the exhaust plume. New experimental data on ionization cost, exhaust plume expansion angle, thruster efficiency and total force are presented that characterize the VX-200 engine performance above 100 kW. A semi-empirical model of the thruster efficiency as a function of specific impulse has been developed to fit the experimental data. Recent results at 200 kW DC input power yields a thruster efficiency of 72% at a specific impulse of 5000 s and thrust of 5.7N.

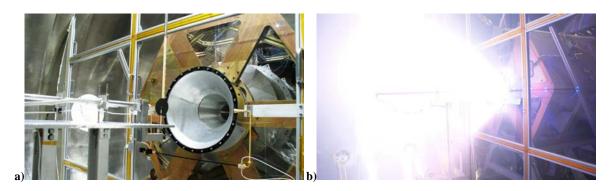


Fig 4. Photograph of the VX-200 rocket exhaust end facing the diagnostics platform (a), and a high power firing of the VX-200 with immersed plasma diagnostics at closest approach (b).



Figure 5. (a) VASIMR® VX-200 prototype.



(b) VASIMR® VX-200i and VX-200 solid-state RF amplifier, 1m in length.

# II. Experimental Setup

## A. The VASIMR® Engine

#### 1. VX-200 and supporting hardware

The VX-200 has a total RF power capability of 200 kW driven by high-efficiency, as high as 98%, solid-state DC-RF generators, as shown in Figure 5b. The VX-200 engine RF generators convert facility DC power to RF power and perform impedance matching between the RF generator output and the rocket core, Fig. 2. The RF generators were custom built by Nautel Ltd., model numbers VX200-1 (helicon generator), and VX200-2 (ICH generator). The VX200-1 RF generator is rated up to 48±1 kW RF with a 91±1% efficiency and a specific mass of 0.85±0.02 kg/kW. The VX200-2 generator is rated up to 172±1 kW RF with a 98±1% efficiency and a specific mass of 0.506±0.003 kg/kW. The generator efficiencies were determined by independent testing performed by Nautel Ltd., which included a direct measurement of input power and calorimetry of the dissipated power in the generator.

The exhaust velocity of the ions increases as the coupled ICH power increases. Coupled RF power is defined as the RF power that is injected by the helicon and/or ICH couplers and is inductively absorbed by the plasma column or radiatively lost by the RF couplers. The coupled RF power is determined by subtracting the power losses in the RF matching network and RF transmission line from the measured RF power at the RF generator output, Fig. 2. Losses in the matching networks and transmissions lines are calculated based on network analyzer measurements of circuit impedance. The efficiency was determined to be 96% for both the helicon and ICH RF circuits.

The helicon plasma source of the VX-200 is driven at 35 kW using 25-150 mg/s of argon gas.. The helicon source internal structure was electrically floating.

The magnetic field in the VX-200 engine is responsible for efficient ion cyclotron coupling of the RF energy to the ions within the quasi-neutral flowing plasma. The applied expanding magnetic field converts perpendicular ion kinetic energy,  $E_{\perp}$ , to directed parallel ion kinetic energy,  $E_{\parallel}$ , through conservation of the magnetic moment and conservation of the ion's total kinetic energy. The location at which 90% of the perpendicular ion energy is converted into parallel ion energy,  $E_{\parallel}/(E_{\parallel}+E_{\perp})=0.9$ , occurs at z=5 cm, r=0 cm.

An ambipolar ion acceleration has also been observed <sup>10</sup> and is believed to be the result of the plasma interaction with the magnetic field gradient in the expanding magnetic nozzle of the VX-200 engine, similar to the Boltzman relation but with a varying electron temperature. The ambipolar ion acceleration typically results in an additional directed ion velocity of 5 to 10 km/s, where the energy for this process comes from the electron energy distribution function as a result of electron and ion interaction with a weak electric field in the magnetic nozzle, which ranges in strength from 10 to 20 V/m depending on system parameters.

The data presented in this paper was taken during quasi steady-state operation, up to 30 s in duration. The neutral pressure gradients within the VX-200 engine and the vacuum system equalize within 0.8 s of the initial startup.

From 0.8 s through 30 s, the neutral pressure throughout the VX-200 engine and vacuum system are steady-state values. Data for the thruster efficiency calculations was taken during the steady-state portion of the VX-200 operation. The propellant mass flow rate was varied between 50 to 160 mg/s for argon and 100 to 250 mg/s for krypton and was measured by use of a calibrated proportional flow control valve flow controller in addition to a calibrated (NIST traceable) thermal-based mass flow meter that was in-line at the high pressure end of the propellant feed system.

The new Ad Astra Rocket Company vacuum chamber is 4.2 m in diameter with a total internal volume of 150 m³, Figures 6a and 6b, and has four 50,000 l/s cryopanels for a total pumping capability of 200,000 l/s. The vacuum chamber is partitioned into two sections, a rocket section and an exhaust section. The rocket section stays at a space-like vacuum pressure which is lower than the exhaust section while the VX-200 is firing. Also shown in Figure 6b is a 2.5 m by 5 m translation stage that carries a suite of plasma diagnostics for plume characterization. The translation stage uses 2 independent ball screws and is driven by vacuum compatible stepper motors which yield a positional resolution of 0.5 mm. A vertical member mounted to the translation stage holds a mounting table. Each diagnostic is bolted directly to the mounting table for precise alignment and positioning on the translation stage. The red solid line in Figure 6a depicts the full axial range of possible plasma measurements. The red line extends into the VASIMR® VX-200 device, but does not penetrate the helicon source itself, and extends 5 m downstream into the expanding plume region of the vacuum chamber.

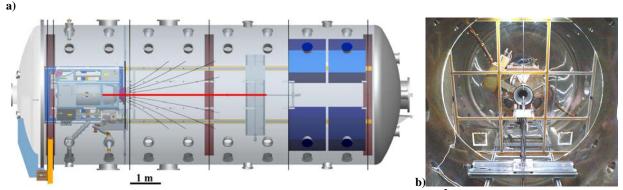


Figure 6. A CAD rendering of the VX-200 rocket bus mounted within the 150 m³ Ad Astra Rocket Company high vacuum facility with superimposed vacuum magnetic field lines (a), and a photograph of the VX-200 rocket (background) and diagnostics platform (foreground) mounted on a 2 m by 5 m translation stage (b).

## **B.** Diagnostics

Plasma diagnostics include a triple probe, 32 and 70 GHz density interferometers, a bolometer, a television monitor, an H-α photometer, a spectrometer, neutral gas pressure and flow measurements, several gridded energy analyzers (retarding potential analyzer or RPA)<sup>3,16,68,69,70,71,72,73,74</sup>, a momentum flux probe<sup>75</sup>, an emission probe, a directional, steerable RPA and other diagnostics<sup>76</sup>. Two 10-probe arrays of fixed bias flux probes and a density interferometer are the primary plasma diagnostics. The flux probe arrays measure ion current profiles. They are calibrated by the density interferometer. An array of thermocouples provides a temperature map of the system.

Measurements of the plasma potential, electron temperature and ion density in the VX-200 and VX200i rocket core and the plasma plume were made with a ½" diameter tungsten Langmuir probe with a guard ring, Figure 8. The probe was swept in voltage from -40 V to +40 V through the entire range of ion saturation and electron saturation regions with a sweep rate of 80 Hz and a sampling rate of 40 kHz. RF compensation was tested, and produced only 0.2 V variations in the measured plasma potential, and 0.1 eV variations in the electron temperature. Floating potential measurements were made with a high impedance oscilloscope from 1 Hz to 100 MHz. Fluctuations in the floating potential were observed to have a maximum peak-to-peak amplitude of 0.4 V at the driving frequency of the helicon plasma source, near the industrial standard 6.78 MHz. Figure 9 shows a photograph of the argon exhaust plume produced by the helicon source from the VX-200. The translation stage and plasma diagnostics can be seen in the background of the photograph.

## 1. Retarding potential analyzer (RPA)

Retarding potential analyzer (RPA) diagnostics have been installed to measure the accelerated ions. Measurements of the ion energy in the downstream section of the VX-200 plume were made with a cylindrical 42-layer grid RPA mounted on powered goniometric hinge on the translation stage, to enable pitch angle scans. A four-grid configuration is used, with entrance attenuator, electron suppressor, ion analyzer and secondary suppressor grids. The grids were 49.2-wire/cm molybdenum mesh, spaced 1 mm apart with Macor spacers. The opening aperture is 1 cm in diameter, usually pointed at the plasma beam, mounted 0.1778 m from the center of the translation stage mounting table. For the results reported here, the VX-200 RPA did not have a front face collimator plate mounted.





Figure 7. Photograph from within the Ad Astra Rocket Company vacuum chamber showing the translation stage, a), and VX-200i mounted within the vacuum chamber, b).

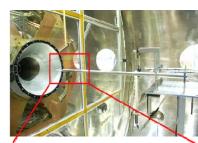




Figure 8. Photograph of a Langmuir probe with guard ring on a 70 cm extension shaft.

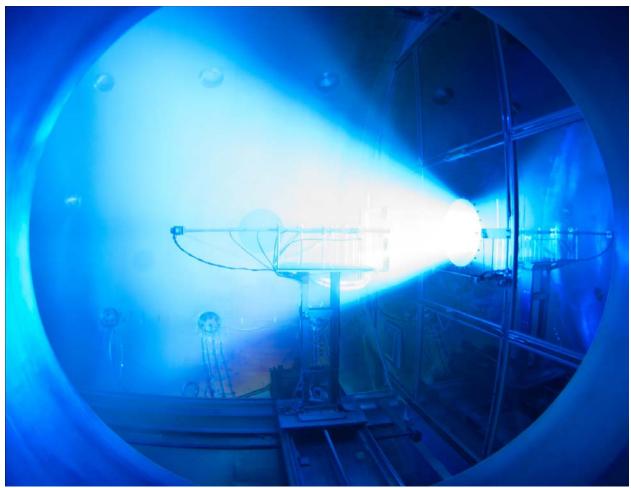


Figure 9. Photograph of the VX-200 exhaust plume during the record power shot with the translation stage and plasma diagnostics in the background.

The interpretation of RPA output data in terms of ion energy requires an accurate knowledge of plasma potential  $(V_p)$ . When available, data from an rf compensated swept Langmuir probe provided by Los Alamos National Laboratory (LANL) are used to determine  $V_p$ . When other  $V_p$  data are not available, plasma potential is assumed to be the value at which dI/dV first significantly exceeds 0, which usually agreed with the LANL probe value within the error bars ( $\pm 5$  V). This value was typically  $\sim$ +0-50 V with respect to chamber ground in the VX-50. The operator biases the body and entrance aperture of the RPA to the observed plasma potential value. The ion exhaust parameters are deduced from the raw data by means of least squares fits of drifting Maxwellians to the current-voltage data<sup>67,69,72,77</sup>.

In this paper, the RPA data will be presented in several formats, including the voltage derivative of the I-V characteristic, the one-D ion velocity distribution function, a planar cut through the full ion velocity distribution function, and as derived parameters. The dI/dV plots (e.g. Figure 25) show the smoothed, numerically calculated derivative with respect to sweep voltage of the measured RPA current. Sweep voltage zero is set to plasma potential found using the methods of the previous paragraph, for ICH-off conditions and all other parameters unchanged. Unless stated otherwise, seventy-eight sweeps per shot of the RPA have been averaged to produce each VX-200 figure. The presence of features in the dI/dV curves at retarding voltages less than the plasma potential are the result of temporal fluctuations in the ion saturation current, and largely serve to illustrate the risks in taking numerical derivatives of data. The ion velocity distribution functions (e.g. Figure 27) were found from the dI/dV curves by dividing by the energy and multiplying by a calibration factor.

The RPA I-V characteristic data has been reduced by least-squares fitting the characteristic that would be produced by a drifting Maxwellian to the data. This fit has three parameters. The three free parameters in these fits are ion density, mean drift speed and the parallel ion temperature in the frame of reference moving with the beam. The temperature is found from these least squares fits, not from taking the slope of the logarithm of the data. The

density is calibrated by comparison with nearby Langmuir probes and is probably best understood as a relative measurement. The temperature and ion drift speed parameters depend most strongly on the accuracy with which the retarding potential is known. The absolute uncertainty of the sweep voltage digitization with respect to chamber ground was a few percent when digitizer calibration uncertainty, sweep isolator reduction ratio precision and related parameters are folded in. There are systematic uncertainties associated with the determinations of plasma potential, which are discussed two paragraphs above. Plasma potential is always subtracted prior to any other analysis.

The full ion velocity phase space distribution function of the ions can be obtained by scanning the RPA in pitch angle between otherwise identical shots, assuming cylindrical (gyrotropic) symmetry<sup>78,79</sup>. The angle step size was 5° from 0° to 50° and 10° thereafter for all contour plot figures (Figure 19).

## C. Concept and Construction of the PMFS

The PMFS was developed and constructed based on a previous NASA-Marshall Space Flight Center design. The PMFS consists of a 9-centimeter-diameter graphite target disc attached to a 10-centimeter-long insulating alumina rod. The stiff alumina rod then connects to a small titanium bar (5.72 cm x 1.30 cm) where a series of 4 high output semiconductor strain gauges are mounted between two holes on an 'isthmus' on the titanium bar, as seen in Figure 10. The isthmus acts as a stress concentrator and increases the sensitivity of the device. The strain gauges are connected electrically in a Wheatstone bridge configuration so that changes in temperature of the titanium bar do not affect the linearity of the strain gauge output. When the graphite disc is immersed in flowing plasma (e.g. the exhaust plume of VASIMR or Hall thruster) the force from the plasma impacting the graphite target is translated into a strain in the titanium beam through a moment arm equal to the length of the alumina rod plus the clamp length. A small graphite shield was also used to keep the entire titanium bar and strain gauge assembly shielded from the flowing plasma, and associated thermal and electrical noise.

The resolution of the PMFS is 0.1 mN, which allowed for sufficiently sensitive measurements of the force applied by the exhaust plasma. In a series of Hall thruster experiments in 2007, an average discrepancy between the measured force from the PMFS and the measured force from the University of Michigan thrust stand of approximately 2% was observed, indicating a good agreement between the two force measurement techniques. For reference, the typical error associated with the inverted pendulum thrust stand is  $\pm 2$  mN for a measured force of 100 mN, indicating that the typical 2% difference observed between the two force measurement techniques is usually within the error associated with the thrust stand.

The same PMFS that was calibrated at the University of Michigan is now mounted on the translations stage in the VX-200 exhaust plume measurements. The PMFS graphite paddle also served to shield the 3-axis magnetometer alumina housing from the direct impact of the plasma exhaust plume. PMFS data were obtained on virtually every plasma shot during the major campaigns described in this paper. Data were digitized at 40 kHz.

If an increased force resolution were required, the length of the alumina moment arm could be increased, acting to increase the output from the strain gauges for a particular force applied to the graphite target. However, increasing the arm length of the device also decreases the resonant frequency response. This limitation is generally not a concern for steady-state thruster operation. If the thruster (or some other source of flowing plasma) were operated in a pulsed mode, then data analysis is simplified if the moment arm was selected such that the natural period of the PMFS device is much shorter than the thruster pulse duration.

The diameter of the graphite targets used in Hall thruster and VX-200 experiment campaigns was smaller than the diameter of the Hall and VX-200 thruster plume, therefore the target only measured a portion of the total force generated by the Hall and VX-200 thrusters in each measurement. The PMFS target diameter was 50% of the P-5 thruster channel O.D. An azimuthally integrated radial profile of the ion flux was used to account for the portion of the plasma plume that was not intercepted by the graphite target. For each force measurement presented in this paper, a corresponding radial profile of the ion flux was collected and used to determine the total force produced by the thruster.

The ratio of the total ion flux (r=0 to r=100 cm), numerically integrated over the entire plume assuming cylindrical symmetry, to that of the ion flux intercepted by the graphite target (r=0 cm to r=9 cm) is given by

$$\sum_{x=0}^{x=1000} \pi \left( r_{x+1}^2 - r_x^2 \right) I(r_x) \\
\sum_{x=0}^{x=90} \pi \left( r_{x+1}^2 - r_x^2 \right) I(r_x)$$
(1)

where

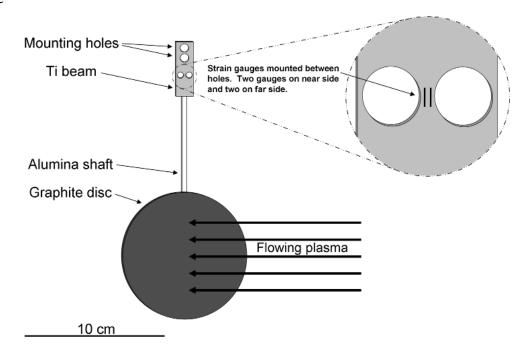


Figure 10. Schematic of the PMFS assembly and zoom in of strain gauge arrangement mounted on the Ti isthmus.

 $I(r_x)$  is the ion current as measured by a Faraday probe biased into ion saturation at a radius  $r_x$  in the plasma exhaust. Here, x ranges from 0 to 1,000 for  $r_x$  values from 0 to 100 cm.

The total force,  $F_{\rm Total}$ , produced by the Hall thruster is determined by multiplying the force measured by the graphite target,  $F_{\rm Target}$ , by Eqn. (1), which becomes

$$F_{\text{Total}} = F_{\text{Target}} \frac{\sum_{x=0}^{x=1000} \pi \left(r_{x+1}^2 - r_x^2\right) I(r_x)}{\sum_{x=0}^{x=90} \pi \left(r_{x+1}^2 - r_x^2\right) I(r_x)}$$
(2)

Charge-exchange (CEX) particles and doubly-charged ions do not affect the accuracy of the PMFS as long as the fraction of these CEX neutrals and doubly-charged ions is small compared to singly-charged ions, or the CEX and doubly-ionized fluxes are directly proportional to the ion flux. This is a reasonable assumption based on previous data taken with the P5 Hall Thruster.<sup>17</sup>

The assumption that the thruster plume is symmetric in the azimuthal direction leads to the largest source of error with the PMFS device. One way to reduce this error is to construct a 2-D map of the ion flux profile; this mapping was performed only in the VX-200 case. In the Hall thruster series of experiments it was found that assuming azimuthal symmetry led to no larger than a 5.7% difference between the force measured by the PMFS and the inverted pendulum thrust stand, and typically resulted in no more than a 2% difference.

Once a total force measurement was numerically integrated from the PMFS measurement and ion flux profile, momentum reflection and sputtering were taken into consideration and corrections were made<sup>75</sup>.

## III. Experimental Momentum Flux Results

#### A. VX-200 Momentum Flux Measurements

The VX-200 achieved full rated operating power of 200 kW dc power in to the ICH rf transmitters on Sept. 30, 2009. During the last year, several experiment campaigns have mapped the exhaust plume and characterized the output of the thruster. Thruster overall performance is in a preliminary stage, and additional major improvements are planned. Thus, all results reported here must be regarded as preliminary.

Contour maps of the momentum flux density in the exhaust plume of the VX-200 are shown in Figures 11 and 12. These maps were constructed by interpolating the PMFS data taken on a regular 10 cm grid during separate plasma shots. The origin of the z (or axial) coordinate is the edge of the end cap vacuum flange. The end of the motor nozzle is at 2.6 m on this scale. Several major points stand out in these figures. The helicon only plume produces orders of magnitude more force than a neutral gas jet with the same mass flow rate. The ICH increases the force level by a factor of at least 5. The boundary of the plume is essentially a straight line. This pattern is taken as evidence that the exhaust plume is detaching from the magnetic field. Finally, the thrust density falls off exponentially with distance from the nozzle. The e-folding distance of this decay is consistent with the charge exchange mean free path owing to resonant charge exchange with the neutral background that builds up in the chamber during each shot. Thus, this fall-off is a laboratory effect that will not occur in flight.

## IV. General VX-200 Results

#### A. Power Data

As noted above, the VX-200 achieved design full power operation on Sept. 30, 2009. New high power records were set on November 19, 2010. The total rf power delivered to the plasma by rf amplifiers during the record high power shot is shown in Figure 13. The ICH amplifier was on from 0.3 to 1.2 s. The stepped turn-on ramp reflects the modular design of the amplifier and the modular sequencing of the turn-on process. The ability of the VX-200 to sustain high power operations for extended time intervals is demonstrated in Figure 14. The figure shows that the ICH amplifier operated at 161 kW for 5 s. As presently configured, the main factors preventing continuous steady state operation are the melting point of certain glues used in the engine core and limited pumping capacity . Figure 14 demonstrates that the rf system is capable of sustained high power operation.

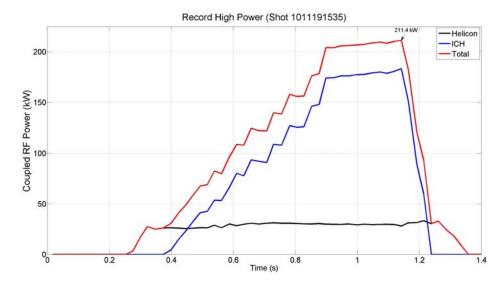


Figure 13. Total RF power coupled to the plasma by the VASIMR rf amplifiers plotted as a function of time during the record full power shot. The helicon amplifier operated at 28~kW, and the ICH amplifier peaked at 183~kW.

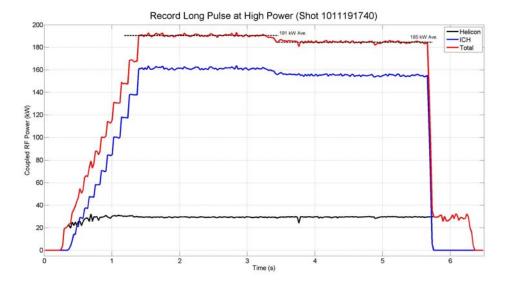


Figure 14. Total power curve for a shot where ICH operation was sustained for >5 s.

#### B. Plasma Data

Improved VX-200 control algorithms and synchronization along with vacuum facility upgrades have enabled detailed exhaust plume studies with minimal charge exchange interaction ( $\lambda_{mfp} > 1$  m). In the latest campaign, measurements were performed during total RF power levels of 30 kW (helicon only) and 100 kW (70 kW ICH added), corresponding to each stage of the engine, throughout a volume extending 2.4 m downstream from the last

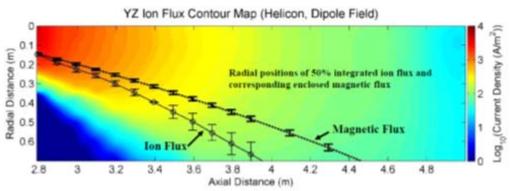


Figure 15a. Ion flux color contour maps in the magnetic nozzle region of the plume. Lines of constant axial ion flux (solid line w/ open circles) and magnetic flux (dashed line) are overlain, 50% plume fraction in this case. The ion flux in either case does not follow the magnetic flux. The low power configuration (helicon only  $\sim 30~kW$ ) shows the ion flux diverging faster than the enclosed upstream magnetic flux. The plume is slightly asymmetric and the ions are affected by charge exchange.

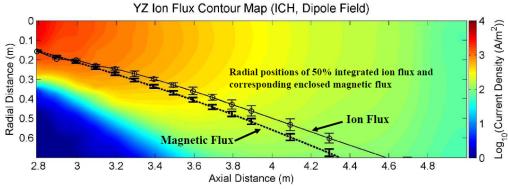


Figure 15b. VX-200 ion flux map (Full power Helicon and 100 kW ICRF) based on Langmuir probe data. The data in this figure are taken approximately 1 seconds after the data from Figure 15a. The plume is slightly broader than with Helicon only as well as better defined along the edges. The high power with ICH (total RF power  $\sim$  100 kW) ion flux forms a more directed flow resulting in a higher nozzle efficiency.

physical structure that is attached to the rocket. Plasma parameter maps, such as ion flux, particle momentum flux, parallel ion energy, magnetic field. electron temperature, and plasma potential, were taken shot-toshot on a regular using more grid than 450 highly repeatable shots.

Ion flux maps of the VX-200 plasma plume are shown in Figures 15a and -b. The contour maps are based on planar Langmuir probe data taken in one location per plasma shot. An extended campaign of week's duration required 10-cm step make radial scans at several axial distances. Contour maps were constructed using standard 2-D interpolation techniques. Two other points stand out. First, the plume has a sharp.

rela-tively straight outer boundary, which we take to indicate that the plasma plume is detaching and not following magnetic field lines. Second, the ICH plume extends further downstream than the helicon only plume, which appears to show a greater charge exchange mean free path for the higher energy ions. The pressure data indicate that the charge exchange mean free path was ~100-120 cm.

For each remaining axial z position the fluxes were integrated radially outward until the flux matched discreet values of the plume fraction ( $f_i$ ,  $f_{\Phi} = 0.1$ , 0.3, 0.5, 0.7, and 0.9). Figure 15 plots ion flux contours with an overlay corresponding to the r, z locations of the integrated ion flux and magnetic flux for the 50% plume fraction. Error bars take into account systematic uncertainties as well as hardware resolution. It is clear that the ion flux does not follow the magnetic flux in either the low power (Figure 15, top) or the high power configurations (Figure 15, bottom). In this magnetic nozzle region the lower energy ions appear to diffuse radially outward while the higher

energy ions form a more axially directed flow (Figure 16). The radial diffusion of the momentum flux directly affects the efficiency of the magnetic nozzle. The mechanisms in the nozzle region governing the plasma flow are still under investigation. The data here represent a subset of a much larger dataset and analysis is still on-going.

The ICH boost is intended to provide an efficient method of accelerating the ions. This acceleration is misnamed "heating." The process is deterministic and affects only one degree of freedom. The effect of the ICH on the ions in the VX-200 is in explored in Figures 17-19. Figure 17 shows a trimetric wireframe view of the ion energy distributions from the RPA located on the centerline at z = 2.9 m, plotted as a function of both ion energy and ICH power, all normalized. The ion energy increases from a peak at ~50 eV produced by the helicon plus ambipolar acceleration to ~180 eV at full ICH power. This level is lower than intended for the VF-200, owing to deliberate, temporary use of a sub-optimal ICH coupler for initial tests. There was also a residual low energy peak, indicating that either that charge exchange was acting to produce a low energy, cool ion population or that the only part of the ion population was accelerated.

Figure 18 shows a comparison of contour plots of the 2-D ion velocity phase space distribution function for ICH on vs ICH off. These data were obtained in a discharge with an argon flow rate of  $\sim$ 3000 sccm, 29 kW of helicon RF, and 94 kW ICH on and ICH off. Data were taken at 5 radii from 0 to 40 cm from the centerline at z = 3.893 m (1.293 m from nozzle). The black arrows in the color contour plots show B. The red arrows indicate where the data were taken in reference to a schematic of the 10 m x 4.2 m vacuum chamber with the VX-200 engine, RF generators, RF power measurement location, vacuum partitioning wall, representative magnetic field lines, and the measurement range of the exhaust plume diagnostics. These data were taken 1.5 m downstream from the ICH resonance. The measurements were made using the powered angle scan mount of the RPA, moving the translation stage so as to keep the RPA in one place. These figures show three things. First, the accelerated ion jet is tightly collimated in velocity space. Second, at this distance, the unaccelerated component shows evidence of a substantial amount of elastic scattering to higher pitch angles. Third, the off axis figures indicate that the jet had a substantial axial component, even as far as 40 cm off axis. Some ions are still flowing along B, but a substantial fraction can be seen to be flowing in an axial direction, which indicates that magnetic detachment was occurring.

Figure 19 shows the fit parameters inferred by least squares fitting drifting Maxwellians to the RPA I-V characteristics: parallel ion temperature in the frame of the beam, drift velocity, and an uncalibrated parameter corresponding to density. Panel (a) shows an axial scan, with the RPA at a radius of -0.178 m. Panel (b) shows a diameter scan at z = 3.6 m. The VASIMR nozzle exit is located at z = 2.6 m. Two striking things jump out of this figure. The density decays exponentially, with an e-folding distance of 1.2 m, which is consistent with charge exchange as the loss mechanism. The apparent ion acceleration near the nozzle may be ambipolar acceleration. However, it is probably the result of the location of the RPA off the centerline. At the closest distances, it was in the fringe of the plume.

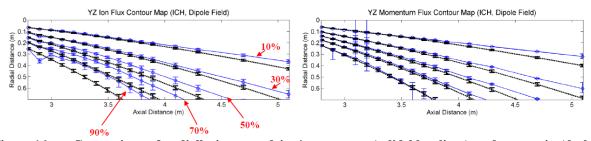


Figure 16. Comparison of radially integrated ion/momentum (solid blue lines) and magnetic (dashed lines) fluxes for the 10%, 30%, 50%, 70%, and 90% plume fractions. The high power configuration (total RF power ~ 100 kW) is shown here. The ion flux and momentum flux in all plume fractions are shown to be directed more axially than the magnetic flux resulting in higher nozzle efficiency than under helicon alone. (left) High power ion flux. (right) High power momentum flux.

#### V. Efficiency

## A. Thruster Performance at 200 kW

For the first time, the total force from the VASIMR VX-200 engine has been measured at the full operating RF power level of 200 kW. Using the PMFS the force density within the exhaust plume of the VX-200 engine was measured as a function of the radial and axial position. To determine the total force produced by the VX-200 engine,

the force density over one full radius of the exhaust plume, as shown in Fig. 20, was integrated using azimuthal symmetry. As the coupled RF power was increased from 28 kW to 200 kW, the total force produced by the VX-200 engine was measured using the PMFS. As shown in Figure 21, the total force increased with increasing ICH coupled RF power as expected.

For the data presented in Figure 20 and Figure 21 the PMFS was located at z=40 cm, where  $E_{\parallel}/(E_{\parallel}+E_{\perp})=0.98$ . The PMFS was 9 cm in diameter; small compared to the total exhaust plume diameter of approximately 70 cm. The representative set of force density data for Figure 20 were taken at 14 samples/cm radially from r=0 cm to r=40 cm, and 1 sample every 10 cm axially from z=40 cm to z=150 cm. The VX-200 engine was operated with 107 mg/s of Ar propellant, a peak magnetic field strength of 2 tesla, a helicon coupled RF power level of 28 kW and an ICH coupled RF power level of 90 kW for the data presented in Figure 20, and an ICH power level range of 0 kW to 172 kW for the data presented in Figure 21. The operating pressure was below  $1\times10^{-2}$  Pa  $(1\times10^{-4} \text{ Torr})$  for data taken during 30 s long firings and was below  $1\times10^{-3}$  Pa  $(1\times10^{-5} \text{ Torr})$  for the first 800 ms of each firing.

The power scan shown in Figure 21 was accomplished by fixing the helicon power at 30 kW and adding an ICH power ramp up to 170 kW. A gas flow temporal profile was required to achieve the high power ramp while maintaining proper impedance matching. The efficiency data points for less than the highest power were acquired during the power ramp, so are not optimized. These data show that the ICH stage is very effective at accelerating ions with efficiency, defined as the coupled ICH power to ion kinetic energy, of 89%. The acceleration process shows no signs of saturation, as exhibited in figure 21, since the measured force increases almost linearly until we maximized the available power. Through this scan, the propellant utilization was near 100%, although only the highest power point was optimized for gas flow. The ionization cost is less than or about 100 eV/ion for the helicon alone and we are preparing an effort to study this quantity with ICH power applied. We expect that the lower power points will increase efficiency when we optimize the gas flow for each power setting of the two stages. Nevertheless, the thruster efficiency with argon gas exceeds 50% for  $I_{sp}$  above 3000 seconds and reaches a value of  $72 \pm 9\%$ , exceeding the extrapolated value near 5000 seconds. The plasma power density at the rocket exit is approximately 5 MW/m², where graphite of the force target glows red hot.

No indication of secondary (ArIII) or tertiary (ArIV) ionization states were observed based on optical spectrometer measurements 30 cm downstream of the VX-200 engine exit plane. This implies that the population of ArIII and ArIV ions is at least less than 1% of the ArII population. For the data presented in Fig. 20, the ion-neutral charge exchange mean free path was 10 cm, and for Fig. 21 and Fig. 22, it was 100 cm.

Measurements of the ionization cost, defined as the ratio of the coupled RF power to the total ion current that is extracted from the system in the exhaust section, were taken during helicon-only operation as a function of both coupled RF power and argon propellant flow rate, from 15 kW to 35 kW and 50 mg/s to 150 mg/s respectively. The lowest ionization cost measurement of  $87\pm9$  eV occurred with VX-200 engine settings of 28 kW coupled RF power and 109 mg/s argon flow rate (Figure 3). The ionization cost term,  $E_{i_0}$  appears in Eqn 7.

The ion current density and force density were mapped over a large region of the exhaust plume, more than 2 m axially and 1 m radially, with the flat faces of the ion current density probes and the PMFS always in a plane orthogonal to the VX-200 engine axis, i.e. always facing in the direction parallel to the engine axis. This mapping was performed at a total coupled RF power level of 90 kW and a neutral background pressure of 1x10<sup>-2</sup> Pa (1x10<sup>-4</sup> Torr). The plasma jet data exhibited a well defined edge in both ion current density and force density.<sup>80</sup> similar to other helicon based devices.81 Assuming azimuthal symmetry, the conical boundary contour that surrounded 90% of the integrated ion current density and force density was calculated. The angle of that boundary line relative to the VX-200 engine axis,  $\theta$ , provided an estimate of the exhaust divergence half-angle. The ion current density data yielded a divergence half-angle of 30±2 degrees (Fig. 16), while the force density data yielded a divergence halfangle of 24±2 degrees (Fig. 12). The half angles were found by radially integrating the ion current density and force density to 90% of the total ion current and total force. These radial maps of ion current density and force density were made between z=40 cm and z=150 cm at 10 cm intervals from the plane of the VX-200 engine exit. The ion flux probe and the PMFS were not rotated such that the ions impacted normal to these surfaces, but were left facing in the direction parallel to the VX-200 engine centerline and translated radially. The conical nozzle correction factor<sup>82</sup> can be used to estimate the fraction of directed momentum to total flow momentum. Here, this correction factor is defined as the nozzle efficiency when expressed as a percentage.

$$\eta_n = \frac{1}{2}(1 + \cos \theta) \tag{3}$$

The integrated current density and force density data yield a nozzle efficiency of 93% and 96% respectively. For the following system efficiency analysis, the more conservative 93% nozzle efficiency was used. This estimate was consistent with particle trajectory modeling<sup>83</sup> that predicted a nozzle efficiency of 90%. Calculations based on a MHD theory<sup>84</sup> that factors in possible drag effects due to the plasma leaving the high magnetic strength zone yield a nozzle efficiency of 87%.

The total thruster efficiency,  $n_T$ , of the VX-200 engine was determined by dividing the total RF power coupled to the plasma by the thruster jet power, where the jet power is defined as

$$F_{fer} = \frac{F^2}{2\pi\hbar} \tag{4}$$

where  $\mathbf{F}$  is the total force produced by the rocket and  $\mathbf{m}$  is the total mass flow rate of propellant. Dividing equation 4, by the total RF power coupled to the plasma yields

$$\eta_T = \frac{P_{jet}}{P_{1,RF} + P_{2,RF}} \tag{5}$$

where  $F_{1.RF}$  and  $F_{2.RF}$  represent the RF power coupled to the helicon and ICH stages of VX-200 engine respectively.

Figures 22 and 23 show the total thruster efficiency as a function of the specific impulse where the specific impulse was calculated using Eqn. 6 with measured values of force (Fig. 21) and propellant flow rate, and the total thruster efficiency was calculated using Eqn. 5. For data presented in Fig. 23, the VX-200 engine used a propellant flow rate of 107 mg/s, a helicon coupled RF power level of 29 kW, and an ICH coupled RF power level from 0 to 172 kW, which yielded results that show a total force of up to  $5.8\pm0.4$  N, at an  $I_{sp}$  of  $4900\pm300$  s, and a  $72\pm9\%$  thruster efficiency. Previous RPA data was used to corroborate the PMFS measurements. RPA measurements were taken at power levels up to 136 kW and matched the PMFS measurements with an error of less than 3%. At RF power levels up to 136 kW, the RPA was used to verify the PMFS results and reported a mean ion flow velocity of 32.8 km/s with an ion temperature of 24 eV in the frame of reference moving with the beam. RPA measurements were not possible at power levels higher than 136 kW as the power density of the plasma exhaust led to RPA grid degradation. However, RPA measurements showed at most a 3% error compared to the PMFS at a total RF power level of 136 kW.

$$I_{SP} = \frac{F}{mg} \tag{6}$$

The Helicon stage was operated at a constant 28 kW coupled RF power, while the ICH stage coupled RF power was varied from 0 to 183 kW, Fig 21. Any change to the thruster efficiency was due largely to the increasing component of ICH coupled RF power. The limiting factor in the maximum ICH coupled RF power to the VX-200 engine was a vacuum pressure limit within the vacuum chamber, where greater RF circuit voltages produced glow or arc discharges that prompted the VX-200 engine solid state RF generators to shut down. The total thruster efficiency in Figs. 22 and 23 increases as a function of coupled ICH RF power and I<sub>sp</sub>, indicating that the process of ICH wave coupling into the plasma column has not saturated.

Measurements of the ionization cost, defined as the ratio of the coupled RF power to the total ion current that is extracted from the system in the exhaust section, were taken during helicon-only operation as a function of both coupled RF power and argon propellant flow rate, from 15 kW to 35 kW and 50 mg/s to 160 mg/s respectively for argon and 100 to 250 mg/s for krypton. The lowest ionization cost measurement of  $80\pm9$  eV for argon and  $70\pm9$  eV for krypton occurred with VX-200 engine settings of 33 kW coupled RF power and 160 mg/s and 18 kW coupled RF power and 160 mg/s respectively. The ionization cost term,  $E_i$ , appears in Eqn 5. Though a small fraction of ICH power may be absorbed by electrons, for the purposes of the semi-empirical model in Eqn 5., it is assumed that the ICH process does not affect  $E_i$ .

A semi-empirical model of the thruster efficiency<sup>80,85,86</sup> for VX-200 engine, Eqn. 7, is also shown in Fig. 22 and 23, and is a least squares fit to the data using the ICH coupling efficiency as the only free parameter, such that

$$\eta_{T} = \frac{\frac{1}{2} m_{Ar} g^{2} I_{Sp}^{2}}{e E_{t} + e E_{1} \left(1 - \frac{1}{\eta_{B}}\right) + \frac{\frac{1}{2} m_{Ar} g^{2} I_{Sp}^{2}}{\eta_{B} \eta_{n}}}$$
(7)

where  $m_{Ar}$  is the atomic mass of argon, g is the gravitational acceleration,  $I_{SP}$  is the specific impulse, e is the electron charge,  $E_i$  is the ionization cost of the propellant,  $E_I$  is the first stage (helicon) RF power coupled to the plasma that is converted into directed ion kinetic energy through ambipolar acceleration,  $\eta_B$  is the ICH efficiency, and  $\eta_n$  is the nozzle efficiency. The ionization cost of the propellant for 29 kW helicon power and 107 mg/s Ar was  $E_i$ =105±9 eV/ion-extracted, the kinetic energy of ions leaving the first stage was  $E_I$ =22±2 eV, and the nozzle efficiency was  $\eta_n$ =93%. The only free parameter is the ICH coupling efficiency,  $\eta_B$ , which was fit to the data using a least squares algorithm, and was found to be 89%. It should be noted that  $\eta_B$  also includes the efficiency loss due to the ion energy spread in the exhaust, i.e. the frozen flow losses due to the finite ion temperature. Decreasing  $E_i$  or increasing  $E_I$  shifts the semi-empirical model curve to the left and increasing  $\eta_B$  or  $\eta_N$  shifts the curve upward. The VX-200 engine helicon and ICH couplers were designed to produce a thruster efficiency of 60% at 5000 s using 200 kW DC input power (equivalent to 186 kW of coupled helicon and ICH RF power). The measured performance of the VX-200 using the full 200 kW of RF power revealed a 72% thruster efficiency at a specific impulse of 4900±300 s, significantly exceeding the performance and design specifications.

#### B. Helicon Plasma Source with Argon and Krypton

One of the largest driving factors for electric propulsion thruster design is the cost of delivering payload to a desired destination. If the thruster designed for operations in and around Low Earth Orbit (LEO) out to Geostationary Earth Orbit (GEO), specific impulse values of  $\sim$ 1500 s to 4000 s are desired in order to reduce the cost of the power system (where solar panels are considered).

In order for the VX-200 to operate efficiently, defined here as a thruster efficiency exceeding 60% and a system efficiency exceeding 50%, a propellant with an atomic mass larger than 40 amu (Ar) must be used to produce >60% thruster efficiency at specific impulse values below 4000 s. For the VX-200, krypton propellant is attractive since it has a reduced ionization energy compared to argon and has an average atomic mass of 84 amu. Figure 24 shows modeled system efficiencies for the VX-200 operating with different propellant choices including krypton, argon, oxygen, nitrogen, and hydrogen for ion energies from 20 eV to 700 eV. Note that the hydrogen system efficiency does in fact exceed 60%, but at a specific impulse of ~30,000 s. Figure 24 also shows measured VX-200 system efficiency values (including superconducting magnet power supplies and laboratory cryocoolers) as a function of the measured specific impulse, up to a DC power level of 212 kW. The various propellant curves in Fig. 24 show ion energies from 20 eV up to 700 eV, which would go from a helicon-only operation of 30 kW (22 eV ambipolar ion acceleration) up to a system power level of 300 kW (30 kW Helicon RF Power, 270 kW ICH RF Power). An assumption is made that the helicon power level is fixed at 30 kW in Fig. 24.

Experiments designed to measure the performance of the helicon plasma source stage were performed using krypton propellant over an applied RF power range from 10 to 33 kW and a propellant flow rate range from 100 to 250 mg/s. These experiments were reproduced with argon propellant for the same applied RF power range and a similar particle flow rate, though a different mass flow rate range of 50 to 160 mg/s. The efficiency of the helicon plasma source was characterized in terms of ionization cost of the propellant, Eqn 8, as well as the ionization fraction, Fig. 25. Unlike most thruster ionization cost characterizations, a conservative approach is taken and the ion current from the helicon plasma source is measured at the exit plane of the engine instead of *within* the core itself. This results in an effective ionization cost of *extracted* ions from the thruster. Precaution was also taken to ensure that the ion flux probes were biased  $3T_{\rm e}$  more negative than the plasma floating potential, ensuring that extra ion current was not collected as a result of ion impact ionization with the probe tip and excess electron reflection at the probe sheath.

$$E_t \equiv \frac{p_{\text{helicon}}}{t_{ton}} - E_1 \tag{8}$$

The helicon performance data indicates that a larger operational envelope, in terms of RF power and propellant flow rate, is possible when using krypton propellant compared to argon propellant. This is somewhat expected since the ionization energy for krypton is lower than it is for argon, in addition to the fact that the thermal sound speed of neutral krypton atoms is slower than it is for argon which increases the neutral krypton residence time within the

helicon source. Metastable states play an important role in the ionization process of argon gas, which in some cases can act to decrease the effective ionization cost when compared to atoms that do not have many metastable states. 40,41 Not as much work has been performed with krypton metastables, though it is thought that this also plays an important role in the ionization process of krypton. The red lines on the ion cost graphs for krypton (left) and argon (right) in Fig. 6 show contour regions where the ion cost is less than 100 eV/ion, which is a desired design parameter of the plasma source for use within the VX-200. Note that the regions with ion cost below 100 eV/ion are much larger for krypton than with argon, indicating that for at least the current design of the VX-200, krypton is an attractive propellant option for near-term LEO applications. Regions as low as 70 eV/ion are possible with krypton propellant, and as low as 80 eV/ion with argon propellant within the helicon plasma source of the VX-200. Figure 6 also shows graphs of the ion fraction for both krypton propellant and argon propellant, where both regions of ion fraction greater than 90% are similar. It remains to be seen if krypton ICH will perform as well as argon ICH in terms of ICH efficiency and hence thruster efficiency. One complication is the multiple stable isotopes of krypton, which would tend to change the resonance location within the ICH stage. However, in simulations this location only changes by a distance on the order of millimeters. This helicon performance work with krypton lays the groundwork for possible future performance measurements using full power ICH with the existing magnet of the VX-200 and an altered ICH generator frequency compared to argon. Future argon experiments with ICH power over a wide operating envelope for the helicon plasma source are currently planned. These multidimensional scans will likely reveal the optimum operating parameters for the VX-200 for a given RF power and mass flow rate setting in terms of maximum thrust, thruster efficiency, and specific impulse.

## VI. Aurora – The ISS National Laboratory Pathfinder Mission

Ad Astra Rocket Company is in the process of defining specifications for and designing an Electric Propulsion and Power Test Platform, named Aurora, for installation on the International Space Station (ISS), shown in figure 10. Its primary purpose is to demonstrate the operation of a VASIMR® 200 kW engine (VF-200) in the space environment. Since the ISS is power limited, the Aurora platform plans to include a 50 kW-hr battery to supply 200 kW of power for up to 15 minutes (sufficient to significantly heat VF-200 thermal management systems) and trickle charge from ISS power between firings. Aurora and VF-200 offer unique opportunities to study the flow of the plasma in a 3-d magnetic field geometry without the effects of conducting boundaries. Additionally, high power and infrastructure may be made available for testing other high power devices in the space environment with the humantended access offered by the ISS. An interface is planned, potentially FRAM (Flight Releasable Attach Mechanism) based, located on top of the structure with a zenith view. The site could feature power and data interfaces for a variety of potential test payloads, including an Aurora Plasma Diagnostics Package for studying fundamental physics in the plume. Payloads could be robotically installed and exchanged.

This project is a part NASA's requirement to operate the ISS as a National Laboratory. The VASIMR® flight test will serve as a pathfinder for large, complex science and technology payloads so that NASA better understands integration of such projects. Ad Astra has designated the payload the "Aurora" shown in Figures 26 and 27. The Aurora will utilize feedback from the attitude control system of the ISS to calculate thrust and performance. The VASIMR® will operate for 15 minutes from large batteries slowly charged by the ISS. The vacuum of space will provide the ultimate test of the VASIMR® engine. The goal is to demonstrate an end-to-end efficiency (including power consumed by the magnets and PPU inefficiencies) of greater than 50% with an *Isp* greater than 4,000 seconds

The Aurora has two main elements: the VF-200 (or propulsion element) and the platform element. The VF-200 consists of two thrusters located side-by-side. Each thruster will have a high temperature superconducting magnet that has its magnetic field oriented in opposite directions to cancel out any net torque. The natural tendency of the Earth's magnetic field and the field produced by a single VASIMR® thruster to co-align would saturate the ISS control moment gyroscopes in many tens of minutes. Each thruster will be designed to process 100 kW of continuous DC power and have a lifetime of a few tens of thousands of hours. The platform element consists of subsystems supporting of the VF-200 and interfacing directly with the ISS.

## VII. Conclusions

The VX-200 is now operating with superconducting magnets and has achieved full design power. The plasma exhaust plume of the VX-200 has properties and structure that demonstrate that the VX-200 is approaching full design performance. It is fully operational with a power capability of 200 kW and pulse lengths limited by the vacuum facility and thermal management. The performance of the rocket from DC electrical power to effective jet

power is well established. The DC to RF conversion efficiency is  $95\pm1\%$  and the thruster efficiency (RF to jet power) is  $72\pm9\%$  at 200 kW of RF power and an  $I_{sp}$  of  $4900\pm300$  seconds. A thrust of  $5.8\pm0.4$  N has been measured.

For the first time, we have collected a detailed map of the exhaust plume with a low background neutral pressure ( $<10^{-5}$  torr). We compared the expansion of the plasma plume with the magnetic field to 2.4 m downstream of the thruster exit plane. This shows compelling evidence that the plasma does not follow the magnetic field lines and remains mostly axially directed with high ICH power. The geometry of the plume is consistent with the occurrence of plasma detachment. The plasma is moving ballistically and does not appear to be following the magnetic field lines. Neutral gas build-up is observed to reduce charge exchange mean free path to  $\sim 1$  m. For the first time, the thruster efficiency and thrust of a high-power VASIMR® prototype have been measured with the thruster installed inside a vacuum chamber with sufficient volume and pumping to simulate the vacuum conditions of space. Using an ion flux probe array and a plasma momentum flux sensor (PMFS), the exhaust of the VX-200 engine was characterized as a function of the coupled RF power and as a function of the radial and axial position within the exhaust plume. The ionization cost of argon propellant was determined to be  $80\pm9$  eV for optimized values of RF power and propellant flow rate. This work paves the way for design and eventual operation of the VASIMR® in orbit on-board the ISS.

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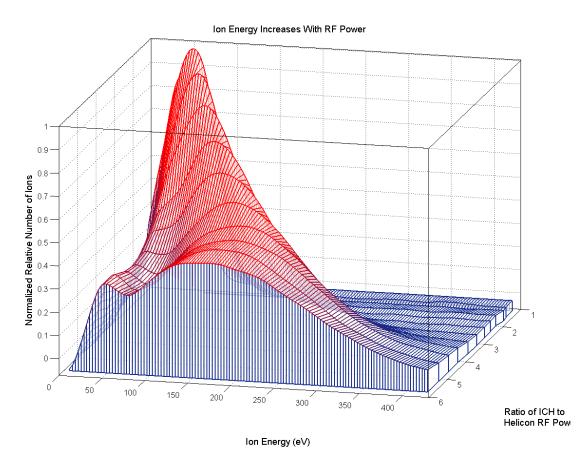


Figure 17. Ion energy distribution function measured by the RPA at an axial distance z = 2.9 m, showing a trimetric wire-frame view of the evolution of the ion energy distribution as ICH power increases.

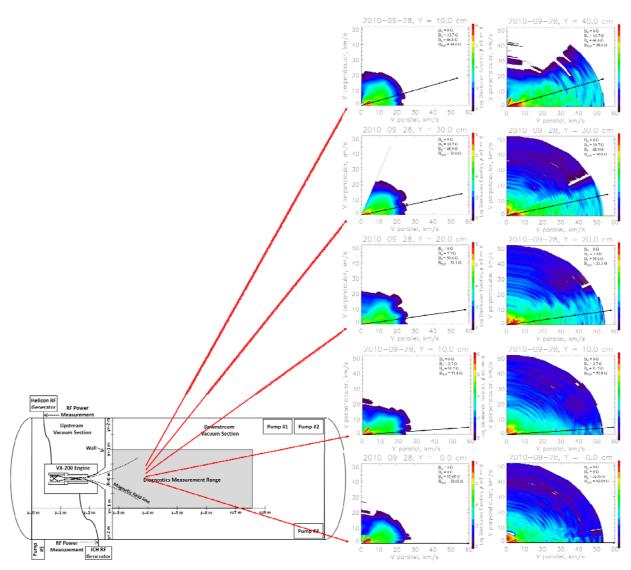


Figure 18. Ion velocity phase space distribution functions, in a discharge with an argon flow rate of  $\sim$ 3000 sccm, 29 kW of helicon RF, and 94 kW ICH on and ICH off. Data were taken at 5 radii from 0 to 40 cm from the centerline at z=3.893 m (1.293 m from nozzle). Black arrows show B. red arrows indicate location data were taken on a schematic of the 10 m x 4.2 m vacuum chamber with the VX-200 engine, RF generators, RF power measurement location, vacuum partitioning wall, representative magnetic field lines, and the measurement range of the exhaust plume diagnostics.

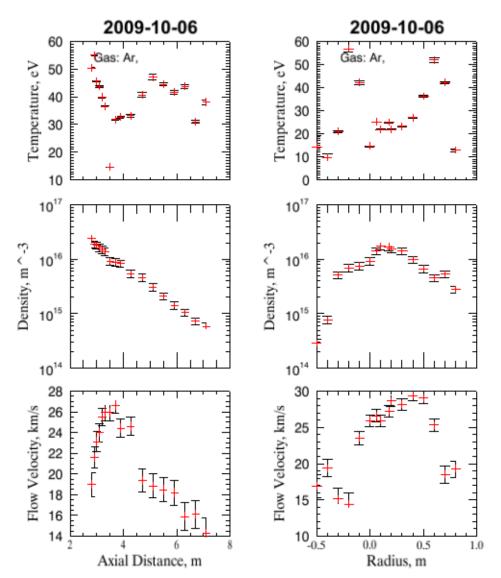


Figure 19. Parameters inferred from least squares fitting a drifting Maxwellian to RPA data. From top to bottom, panels show parallel ion temperature in the frame of the beam, ion density (uncalibrated, arbitrary units) and ion flow velocity. (a) Axial plot. Origin of the z-axis is at the edge of the upstream end of the vacuum chamber. Motor nozzle is at z = 2.6 m. All data were taken with the RPA at y = -0.178 m when stage y = 0. (b) Radial plot. RPA is on center at y = 0.178 m. This diameter scan was at z = 3.6 m.

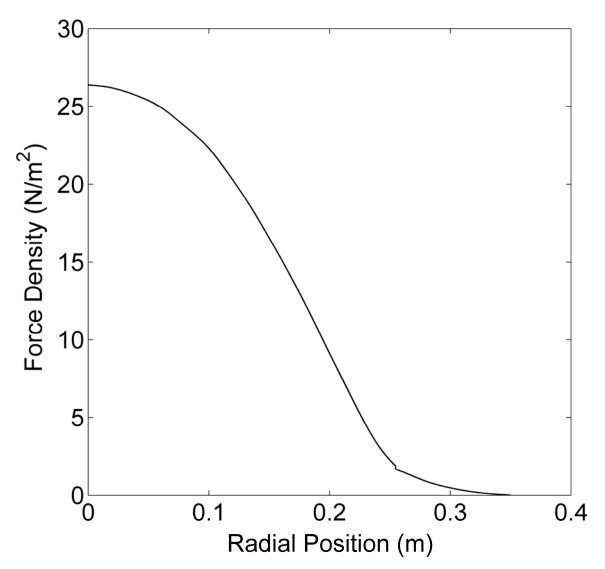


Figure 20. A measured radial profile of the VX-200 engine force density. Error is 7% of the force density value.

# **VX-200 Performance**

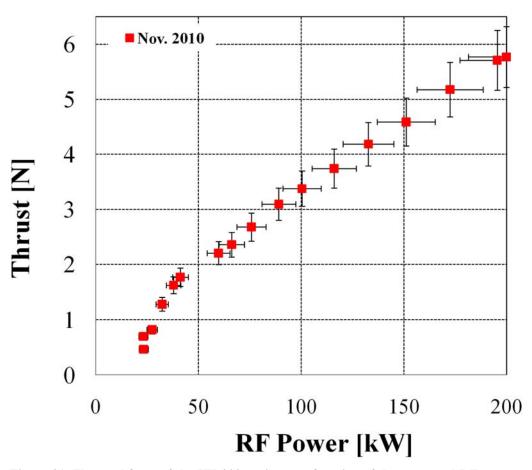


Figure 21. The total force of the VX-200 engine as a function of the measured RF power coupled to the argon plasma.

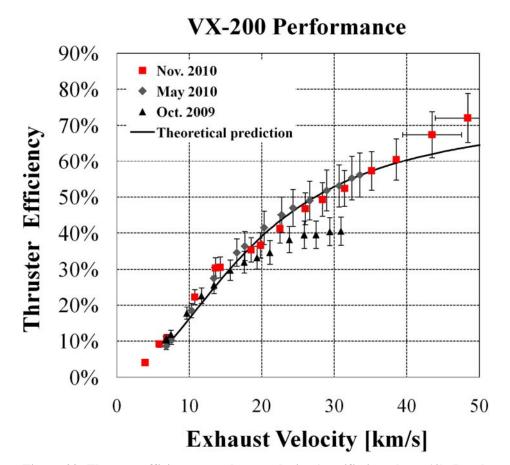


Figure 22. Thruster efficiency vs exhaust velocity (specific impulse x 10). Results are shown for three separate experimental campaigns in October 2009, May of 2010 and November of 2010. Hardware refinements to the second stage have led to significant performance improvement.

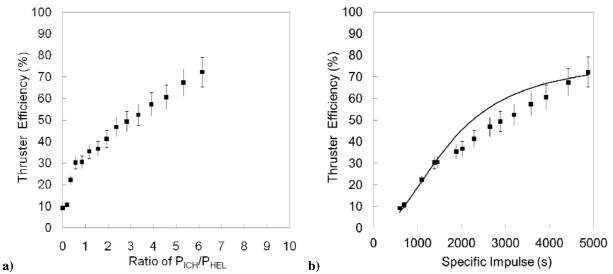


Figure 23. Thruster efficiency of the VX-200 engine as a function of the ICH RF Power to Helicon RF Power, left, and as a function of specific impulse, right. A least squares fit of the data to a semi-empirical model is also superimposed, right.

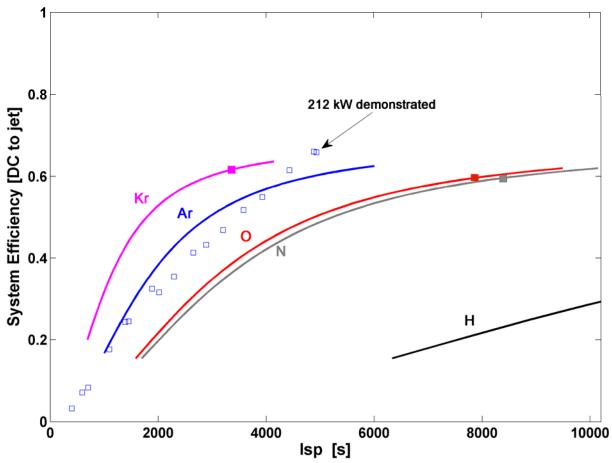


Figure 24. System efficiency of the VX-200 engine as a function of the specific impulse.

Krypton Argon

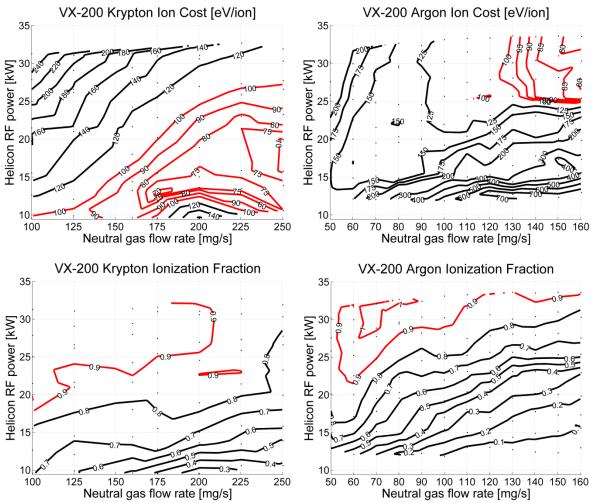


Figure 25. System efficiency of the VX-200 engine as a function of the specific impulse.

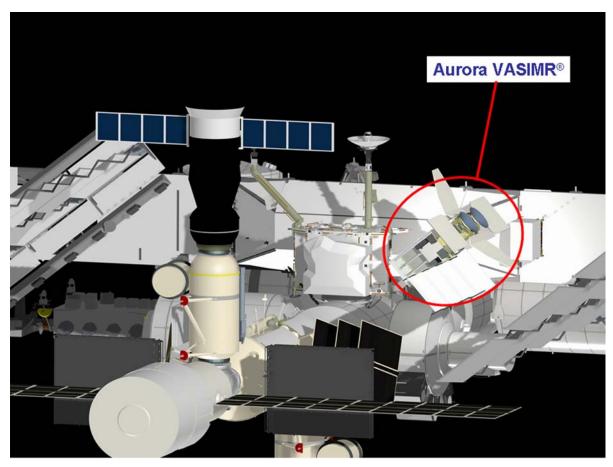


Figure 26. Aurora attached to the ISS on the starboard side of the Z1 truss.

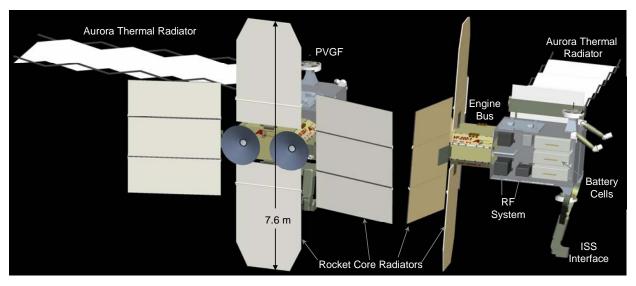


Figure 27. Detailed view of Aurora with primary components labeled.

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