

# Recent Improvements In Ionization Costs And Ion Cyclotron Heating Efficiency In The VASIMR Engine

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The Variable Specific Impulse Magnetoplasma Rocket (VASIMR) is a high power magnetoplasma rocket, capable of  $I_{sp}$ /thrust modulation at constant power. The plasma is produced by a helicon discharge. The bulk of the energy is added by ion cyclotron resonance heating (ICRH.) Axial momentum is obtained by adiabatic expansion of the plasma in a magnetic nozzle. Thrust/specific impulse ratio control in the VASIMR is primarily achieved by the partitioning of the RF power to the helicon and ICRH systems, with the proper adjustment of the propellant flow. Ion dynamics in the exhaust were studied using probes, gridded energy analyzers (RPA's), microwave interferometry and optical techniques. This paper will review 3 years of single-pass ICRH ion acceleration data. During this interval, the available power to the helicon ionization stage has increased from 3 to 20 kW. The increased plasma density has produced increased plasma loading of the ICRH antenna and significant improvements in antenna coupling efficiency and in ion heating efficiency. We explored the details of the ion dynamics in a deuterium exhaust plasma using ~19 kW of RF power to the helicon ionization stage and 1.3 kW to the ICRH acceleration stage. Owing to significant reductions in ionization cost, the total ion flux in the exhaust plasma is an order of magnitude greater than the flux obtained during the experiments that were reported as recently as November of 2004. In this high-density plasma, the available energy per ion is reduced compared to last year, but the booster efficiency of the ICRH process has increased. Ion energization of ~17 eV/ion has been demonstrated in this higher flux flowing plasma. This energy increase corresponds to a booster efficiency ( $\eta_b$ ) of 67%, in agreement with model predictions. Results also confirm conversion of transverse ion motion to axial motion via conservation of the first adiabatic invariant.

## Nomenclature

$\eta_A$	=	ICRH antenna efficiency
$\eta_b$	=	ion coupling efficiency
$f$	=	frequency
$f_{ci}$	=	ion cyclotron frequency
$F$	=	ion velocity phase space distribution function
$\Gamma_i$	=	total ion flux
$I_{sp}$	=	specific impulse
$L_A$	=	inductance of the ICRH antenna
$L_M$	=	inductance of the ICRH antenna matching network

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$\dot{m}$	= mass flow rate
$P_{plasma}$	= ICRH RF power broadcast into plasma
$P_{ion}$	= ICRH RF power coupled into ions
$P_{ICRH}$	= ICRH RF power into antenna
$Q_c$	= quality factor of the ICRH antenna coupling circuit
$R_c$	= resistance of the ICRH antenna coupling circuit
$R_p$	= plasma loading of the ICRH antenna
$\Theta$	= pitch angle
$v_{ICRF}$	= exhaust plasma flow velocity with ICRH on
$v_{helicon}$	= exhaust plasma flow velocity with helicon only
$VSWR_{plasma}$	= voltage standing wave ratio of the ICRH antenna, with plasma present
$VSWR_{vacuum}$	= voltage standing wave ratio of the ICRH antenna, with no plasma present
$W_{ICRH}$	= mean ion energy increase owing to ICRH
$\omega$	= angular frequency

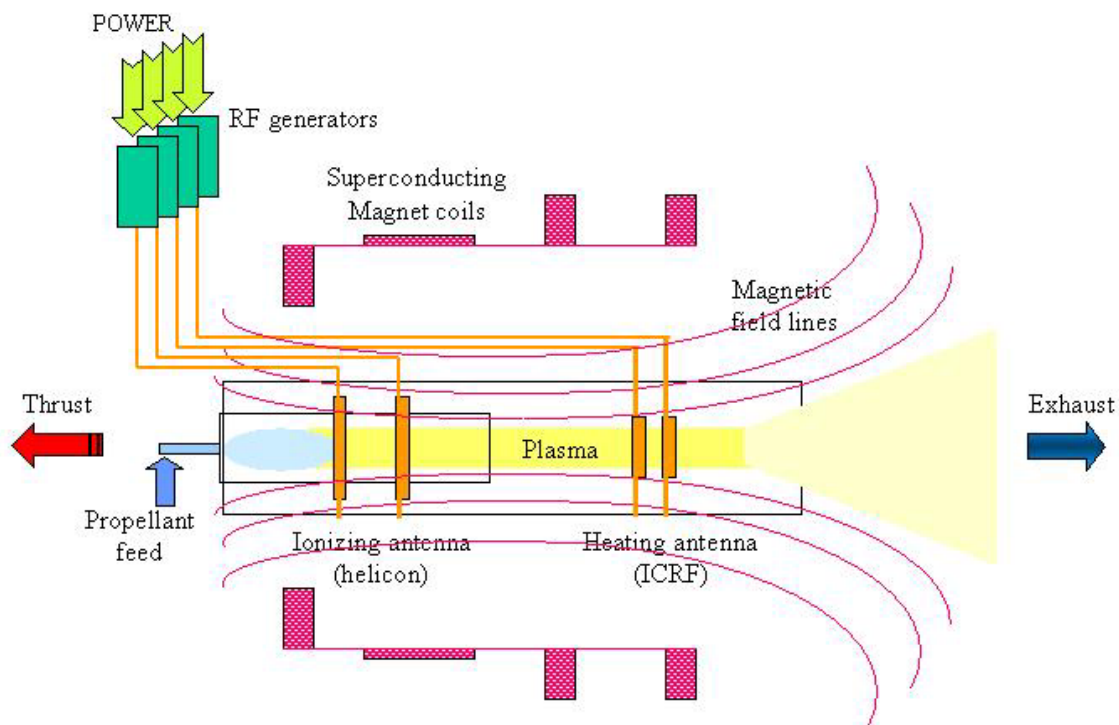


Figure 1. Cartoon block diagram of the VASIMR system, illustrating the basic physics.

## I. Introduction

THE Variable Specific Impulse Magnetoplasma Rocket (VASIMR) is a high power, radio frequency-driven magnetoplasma rocket, capable of  $I_{sp}$ /thrust modulation at constant power<sup>1-5</sup>. The physics and engineering of this device have been under study since 1980. A simplified schematic of the engine is shown in Figure 1. The VASIMR consists of three main sections: a helicon plasma source, an ICRH plasma accelerator, and a magnetic nozzle<sup>1, 3, 4, 6-8</sup>. Figure 1 shows these three stages integrated with the necessary supporting systems. One key aspect of this concept is its electrode-less design, which makes it suitable for high power density and long component life by reducing plasma erosion and other materials complications. The magnetic field ties the three stages together and, through the magnet assemblies, transmits the exhaust reaction forces that ultimately propel the ship. The plasma is produced by an integrated helicon discharge<sup>9-12</sup>. The bulk of the plasma energy is added in a separate downstream stage by ion cyclotron resonance heating (ICRH)<sup>13-17</sup>. Axial momentum is obtained by the adiabatic expansion of the plasma in a magnetic nozzle. Thrust/specific impulse ratio control in the VASIMR is primarily achieved by the

selective partitioning of the RF power to the helicon and ICRH systems, with the proper adjustment of the propellant flow. However, other complementary techniques are also being considered. A NASA-led, research effort, involving several teams in the United States, continues to explore the scientific and technological foundations of this concept. The research is multifaceted and involves theory, experiment, engineering design, mission analysis, and technology development. Experimentally, high-density stable plasma discharges have been generated in Helium, Hydrogen, Deuterium, Argon and Xenon. Ion dynamics in the exhaust are studied using a variety of probes, gridded energy analyzers (RPA's), microwave interferometry and optical techniques.

The VASIMR engine has three major subsystems, the injection stage, the heating stage and the nozzle<sup>8</sup>. The use of a separate injection system has allowed us to optimize our system for maximum power efficiency over a wide range of gas flow rates. At present, the best choice appears to be a helicon discharge<sup>9-12</sup>. The next stage downstream is the heating system. Energy is fed to the system in the form of a circularly polarized RF signal tuned to the ion cyclotron frequency. ICRH heating has been chosen because it transfers energy directly and primarily to the ions, which maximizes the efficiency of the engine<sup>14, 15</sup>. The system also features a two-stage magnetic nozzle, which accelerates the plasma particles by converting their rotational energy into directed momentum. The detachment of the plume from the field takes place mainly by the loss of adiabaticity and the rapid increase of the local plasma  $\beta$ , defined as the local ratio of the plasma pressure to the magnetic pressure.

An important consideration involves the rapid absorption of ion cyclotron waves by the high-speed plasma flow. This process differs from the familiar ion cyclotron resonance utilized in tokamak fusion plasma as the particles in VASIMR pass under the antenna only once<sup>3, 18-20</sup>. Sufficient ion cyclotron wave (ICW) absorption has nevertheless been predicted by recent theoretical studies<sup>21</sup>. The light ion VASIMR engine is best suited to high power operation. The critical factor that limits efficiency at low power is amount of antenna loading that can be obtained with the ICRH system. The load impedance of the antenna must be significantly larger than the impedance of the transmission and matching network. The cyclotron resonance frequency of deuterium in the available magnetic field is about 2 MHz, which means that one requires plasma that is ~8-10 centimeters in diameter before reasonable load impedances are obtained. It takes a high power system to produce such plasma. The successful demonstration of single pass ICRH acceleration of light ion plasma in the VX-10 machine was reported last year<sup>3,4,22</sup>.

Elimination of a magnetic bottle from the VASIMR concept was motivated by theoretical modeling of single-pass absorption of the ion cyclotron wave on a magnetic field gradient by theorists at the University of Texas at Austin<sup>21</sup>. While the cyclotron heating process in the confined plasma of fusion experiments results in approximately thermalized ion energy distributions, the non-linear absorption of energy in the single-pass process produces a boost, or displacement of the ion kinetic energy distribution. The ions are immediately ejected through the magnetic nozzle before the ion distribution has had time to thermalize. Hence, VASIMR is not an electrothermal rocket, and the fusion term "ICRH" for ion cyclotron resonant heating may be misleading in describing the process now used in VASIMR, if the term "heating" implies a thermalized distribution. "ICRA", with the "A" standing for absorption or perhaps acceleration, is more accurate if we are referring to the non-linear, non-thermal absorption process modeled by UT-Austin and exhibited by natural processes in the auroral region. For example, single-pass ICRH ion acceleration has been invoked to explain observations of "ion conic" energetic ion pitch angle distributions in the auroral regions of the Earth's ionosphere and magnetosphere<sup>23-29</sup>. The fact that ion conics are commonly found on auroral field lines suggests that ICRH is a ubiquitous process in auroral arcs. The efficiency of the wave-particle coupling that single pass heating can produce is illustrated by the fact that space-borne observations of ion-cyclotron waves are relatively rare<sup>30-38</sup>.

The subject of this paper is to present the data from a series of experiments that have conclusively demonstrated single pass ICRH in fast-flowing laboratory plasma. This paper will focus on the retarding potential analyzer (RPA) data in order to describe the improvements made during the past year and highlight some of the experimental results that have been obtained. We explored the details of the ion dynamics in deuterium exhaust plasma using ~19 kW of RF power to the helicon ionization stage and 1.3 kW to the ICRH acceleration stage. Owing to significant reductions in ionization cost, the total ion flux in the exhaust plasma is an order of magnitude greater than the flux obtained during the experiments that were reported as recently as November of last year. In this high-density plasma, the available energy per ion is reduced compared to last year, but the booster efficiency of the ICRH process has increased. Ion energization of ~17 eV/ion has been demonstrated in this higher-flux plasma. This energy increase corresponds to booster efficiency ( $\eta_b$ ) of 67%, in agreement with model predictions. Results also confirm conversion of transverse ion motion to axial motion via conservation of the first adiabatic invariant.

## II. Experiment

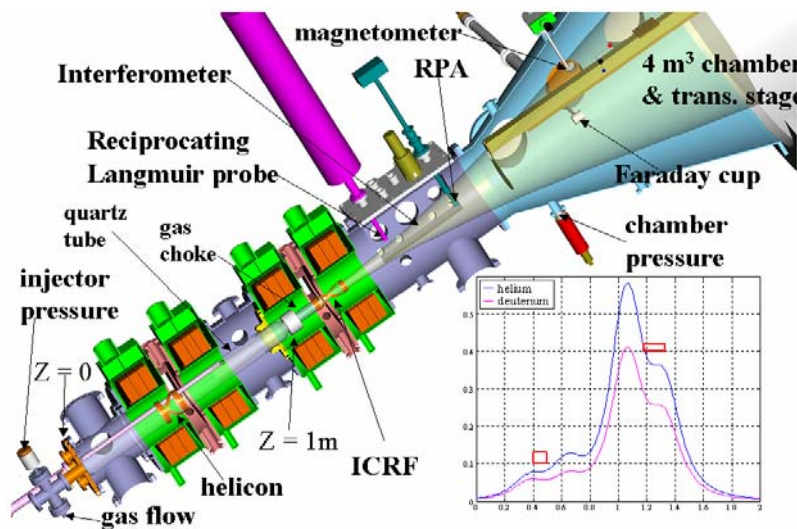


Figure 2. Engineering drawing of the VX-25, showing the major systems and the location of the diagnostic instruments.

The VASIMR engine has three major subsystems, the injection stage, the heating stage and the nozzle<sup>8</sup>. A laboratory simulation of a 4.5-30 kW physics demonstrator VASIMR engine has been under development and test at NASA-JSC for several years<sup>39, 40</sup>. The details of the engine and its design principles have been previously reported<sup>3, 41</sup>. A more specific detailed view of the present physics demonstrator laboratory experiment (VX-25) is shown in Figure 2. The use of a separate injection system has allowed us to optimize our system for maximum power efficiency over a wide range of gas flow rates. At present, the best choice appears to be a helicon discharge<sup>9-12</sup>. The next stage downstream is the heating system. Energy is fed to the system in the form of a circularly polarized

rf signal tuned to the ion cyclotron frequency. ICRH heating has been chosen because it transfers energy directly and largely to the ions, which maximizes the efficiency of the engine<sup>14, 15</sup>. In the present small-scale test version, there is no mirror chamber and the ions make one pass through the ICRH antenna. The system also features a two-stage magnetic nozzle, which accelerates the plasma particles by converting their azimuthal energy into directed momentum.

The main VASIMR vacuum chamber is a cylinder 1.8 m long and 35.6 cm in diameter. The VASIMR exhaust flows through a conical adapter section into a 5-m<sup>3</sup> exhaust reservoir. The magnetic field is generated by four liquid nitrogen cooled 144 turn copper magnets, which can generate a magnetic induction of up to 1.5 T. The high vacuum pumping system consists of a cryopump and two diffusion pumps with a combined total capacity of 5000 l/s.

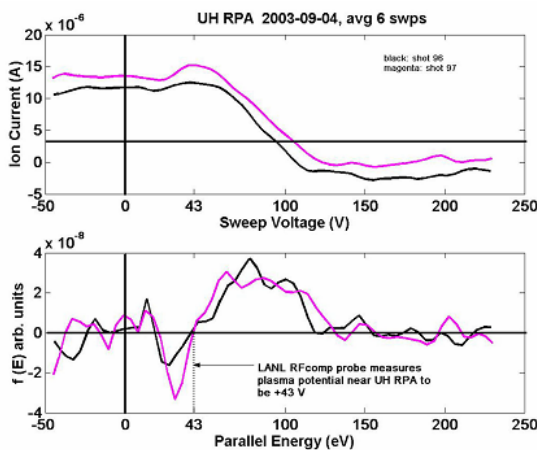
In recent years, a NASA-led, multifaceted research effort, involving several teams in the United States, continues to explore the scientific and technological foundations of this concept, and its extrapolation as a high power, in-space propulsion system<sup>8, 41</sup>. Experimentally, two major facilities are investigating plasma performance. Both have obtained attractive results with deuterium and helium, the two propellants of choice. In both gasses, densities of 10<sup>20</sup> m<sup>-3</sup> have been obtained at frequencies ~4 times the lower hybrid resonance. Slightly lower densities, in range of 10<sup>18</sup> m<sup>-3</sup> have been obtained with hydrogen.

Over the course of the investigations reported here, there have been a series of improvements and upgrades to the helicon plasma source<sup>42, 43</sup>. The earliest experiments that will be presented were performed using a 5 cm diameter "Boswell" type double saddle antenna and 3 kW of rf power. Directionality was provided by use of a magnetic cusp configuration. Over the 2.5-year interval spanned by the experiments reported here, the helicon has undergone a number of successive upgrades. The helicon plasma source in the VASIMR engine has been incrementally improved in three steps. First, antenna size, connector and power supply improvements raised the available power from 3 kW to 10 kW, still operating with Boswell antenna and a magnetic cusp. The factors limiting the application of rf power to the helicon ionizing discharge had been the power of the 25 MHz transmitter (3 kW), the voltage limits on the vacuum rf feed-through, the voltage limits of the rf matching network and the diameter of the discharge. Successive steps to 9 cm increased the diameter of the helicon antenna. The helicon rf supply was completely rebuilt. A new high voltage power supply was built, along with a new high power transmitter. A 35 kV rf vacuum feed-through was obtained and installed, along with a new high voltage matching network. These new components produced helium plasma operating at 10 kW, with ~4 times the ion flux achievable at 3 kW. Second, the transmitting antenna was changed from the Boswell configuration to a helical half-twist antenna. Finally, the decision was taken to switch transmitters and power the helicon with our 100 kW transmitter, which can operate the helicon at 13.5 MHz up to 24 kW before connector and cable voltage limits are reached.

The ICRH antenna uses a helical, double strap quarter-turn configuration developed by our colleagues at Oak Ridge National Laboratory. It is polarized to launch left-handed slow mode waves<sup>19, 44</sup>. The present configuration of the rf booster or ICRH system uses 1.5 kW of 1.5-2.5 MHz left hand polarized slow mode waves launched from the high field, over dense side of the resonance (region 13 of the Clemmow-Mullaly-Allis (CMA) diagram, using the *Stix*<sup>17</sup> notation. The antenna is uncooled and connected via a low-voltage feedthrough, which limits power to 1.5 kW.

#### A. Diagnostics

Available plasma diagnostics include a triple probe, a Mach probe, a bolometer, a television monitor, an H- $\alpha$  photometer, a spectrometer, neutral

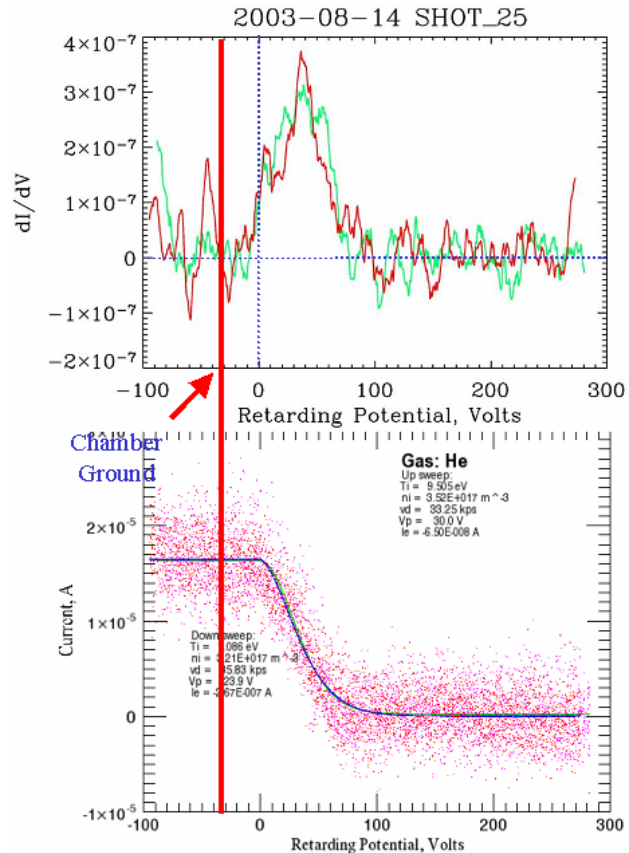


**Figure 4. Two sample shots of RPA data, with 0 V set to chamber ground, showing the relationship between plasma potential as measured by an rf compensated Langmuir probe and the sweep potential where  $dI/dV \approx 0$ . The LANL RF compensated probe gives  $V_p \approx 45$  V. This value was used for analysis of summer 2003 data. The direct LANL/RPA comparison shots always put  $V_p$  at the  $dI/dV \approx 0$  point.**

##### 1. Retarding Potential Analyzer (RPA)

Retarding potential analyzer (RPA) diagnostics have been installed to measure the accelerated ions. The present RPA is a planar ion trap located  $\sim 40$  cm downstream from the plane of the triple and Mach probes, which corresponds to a factor of 8 reduction in the magnetic field strength. The grids are 125-wire/in nickel mesh, spaced 1 mm apart with Macor spacers. The opening aperture is 1 cm in diameter, nominally centered on the plasma beam. A four-grid configuration is used, with entrance attenuator, electron suppressor, ion analyzer and secondary suppressor grids.

The interpretation of RPA output data in terms of ion energy requires an accurate knowledge of plasma potential ( $V_p$ ). On University of Houston (UH) RPA plots, the 0 of the retarding potential scale is set to  $V_p$  as shown in Figure 3, instead of chamber ground. Thus, the sweep scale can be read directly as ion energy in eV. When available, data from an rf compensated swept Langmuir probe provided by Los Alamos National Laboratory (LANL) are used to determine  $V_p$ , as shown in Figure 4 (*M. Light*, personal communication, 2003). When other  $V_p$  data are not available,



**Figure 3. A sample shot of RPA data, showing relationship of chamber ground to plasma potential.**

gas pressure and flow measurements, several gridded energy analyzers (retarding potential analyzer or RPA)<sup>4,8,45-49</sup>, a surface recombination probe system, an emission probe, a directional, steerable RPA and other diagnostics<sup>50</sup>. The Langmuir<sup>51</sup> probe measures electron density and temperature profiles and the Mach<sup>52-54</sup> probe measures flow profiles. Together this gives total plasma particle flux. An array of thermocouples provides a temperature map of the system. The Langmuir probe has four molybdenum tips that are biased as a triple probe, with an extra tip for measuring electrostatic fluctuations<sup>51</sup>. The Mach probe has two molybdenum tips biased in ion saturation, one upstream and one downstream of a stainless steel separator.

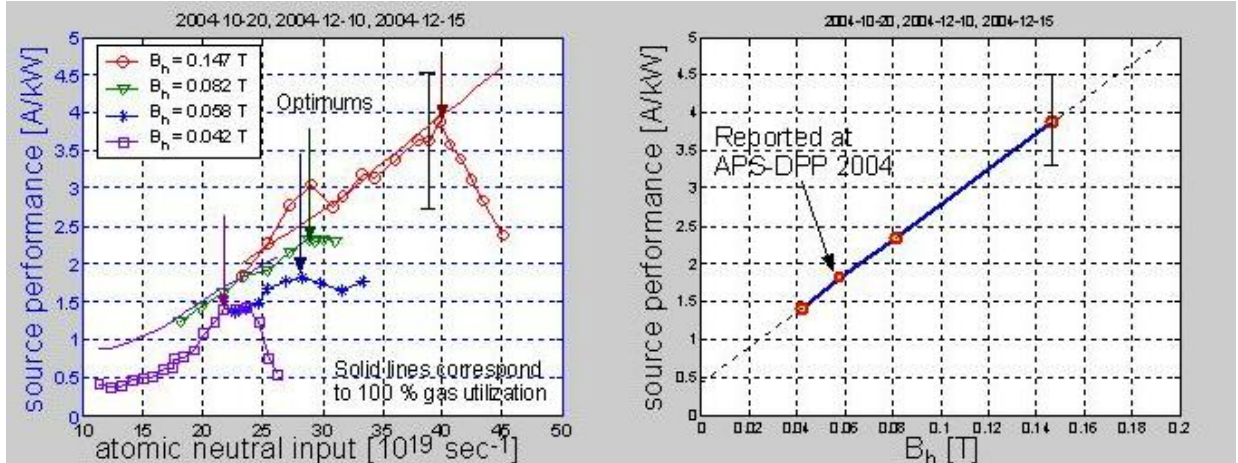


Figure 5. (a) Source performance is plotted vs. gas flow rate for 4 different values of the helicon magnetic field strength. (b) Source performance vs. magnetic field strength.

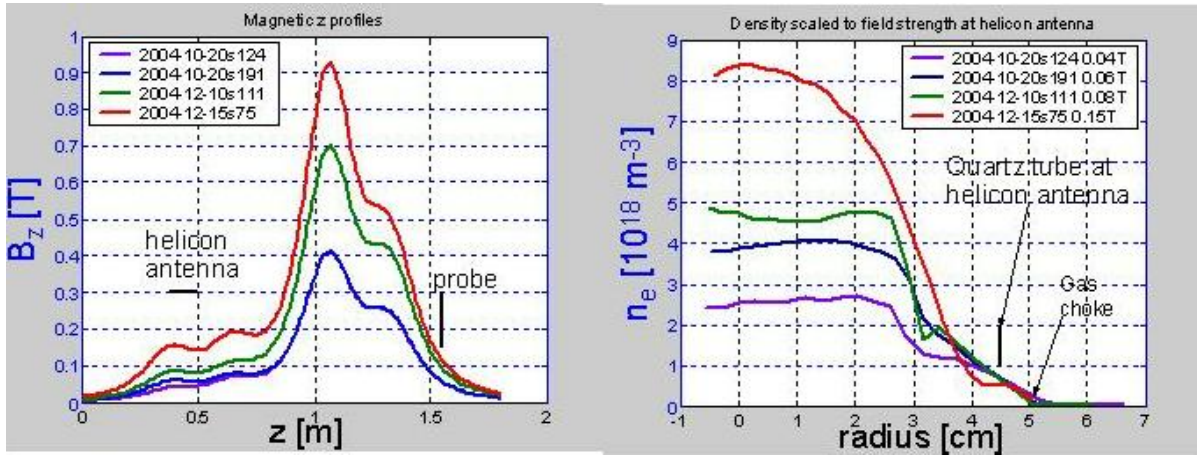


Figure 6. (a) Magnetic field profiles for the four configurations in Figure 5. (b) Density profiles as a function of radius for the 4 configurations.

plasma potential is assumed to be the value at which  $dI/dV$  first significantly exceeds 0, which usually agrees with the LANL probe value within the error bars. This value is typically  $\sim +30$ -50 V with respect to chamber ground. The operator biases the body and entrance aperture of the RPA to this value. The solid lines on the raw data plots are the results of least squares fits of drifting Maxwellians to the up and down sweeps<sup>45,46,49,55</sup>.

We can find an upper limit to rf smearing of the current-voltage characteristic of the RPA by examining  $T_i$  estimates from Maxwellian fits to the data from the coldest plasma shots obtained. The coldest ion temperature observed with ICRH off was 0.7 eV observed with a very high gas flow. The coldest ion temperature during an ICRH on shot was  $\sim 1$  eV. These temperatures represent experimental upper limits on the rf contamination of ion temperature estimates.

### III. Data

#### A. Ionization Investment

During the interval from May 2004 to March 2005, a major part of the experimental effort was devoted to the parameter space of the helicon injector in an effort to improve efficiency and reduce ionization costs. Between May 2004 and the present, the source performance was improved by roughly an order of magnitude. The source performance has doubled since the last AIAA meeting in January 2005, as shown in Figure 5. The performance appears proportional to the applied magnetic field at the helicon antenna, at least in this range, as shown in Figures 5

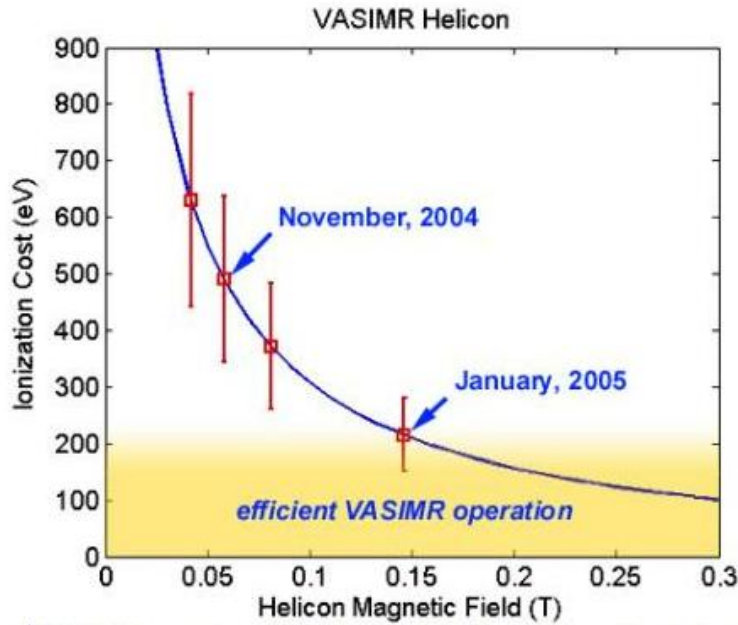


Figure 7. Ionization cost in eV/ion pair is shown as a function of helicon magnetic field strength.

maximum performance runs.

The history of ionization cost is plotted as a function of helicon magnetic field strength in Figure 7. We are very close to our goal for the helicon, < 200 eV/ion-electron pair. Further improvements appear possible.

## B. Plasma Loading

The light ion VASIMR engine is best suited to high power operation. The critical factor that limits efficiency at low power is the efficiency of helicon stage and hence the ionization cost<sup>56</sup>. At high power, the ICRH stage is more important and hence ICRH antenna loading is more critical here. The load impedance of the antenna must be significantly larger than the impedance of the transmission and matching network (see Figure 8). The cyclotron resonance frequency of helium in the available magnetic field is about 2 MHz, which means that one requires plasma that is ~8-10 centimeters in diameter before reasonable load impedances are obtained.

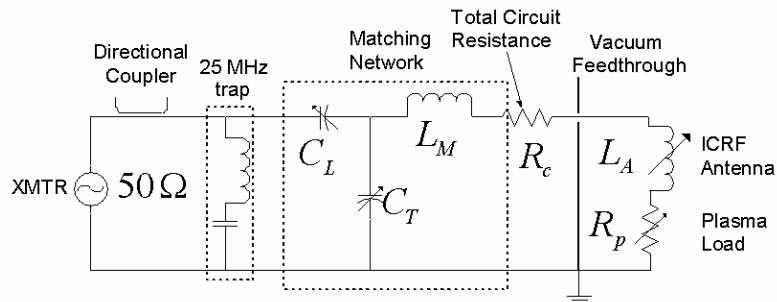


Figure 8. Circuit diagram of the ICRH antenna, indicating how the plasma impedance couples to the circuit.

The following calculation illustrates how plasma loading is determined. Using the relationship that:

$$\frac{VSWR_{plasma}}{VSWR_{vacuum}} = \frac{(R_p + R_c)}{R_c}, \quad (1)$$

a network analyzer is used in place of the high power rf transmitter to measure the quality factor of the antenna coupling circuit and to tune the circuit when no plasma is present. Then we have:

$$R_c = \frac{\omega(L_M + L_A)}{Q_c} \approx 0.24\Omega \quad (2)$$

and

$$R_p = R_c (VSWR_{plasma} - 1). \quad (3)$$

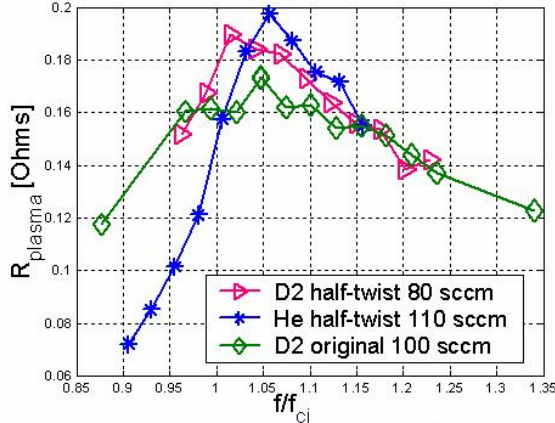
Thus the coupling efficiency is:

$$\eta_A = \frac{R_p}{(R_p + R_c)} = 0.89 \quad (4)$$

The power radiated into the plasma is estimated as:

$$P_{plasma} = \eta_A P_{ICRH} = 1.25\text{kW} \quad (5)$$

The initial deuterium loading data were taken when only 4 kW of helicon power was available. Plasma loading was generally maximum when  $f/f_{ci} > 1$ , as shown in Figure 9. As shown in this figure, the plasma loading was comparable to the circuit resistance. Helium data showed similar behavior.



**Figure 9. Plasma loading as a function of ICRH frequency for a deuterium plasma produced by a 3 kW helicon discharge.**

magnetic field. This increase will take place in the resonance region, i.e. the location where the injected rf wave frequency is equal to the ion cyclotron frequency. Downstream of the resonance, this perpendicular heating will be converted into axial flow owing to the requirement that the first adiabatic invariant of the particle motion be conserved as the magnetic field decreases. Since the total ion flux is not expected to increase, this axial acceleration should be accompanied by a density decrease. Furthermore, the particles should have a pitch angle distribution that does not peak at  $0^\circ$ . Instead, the distribution should peak at an angle that maps to a perpendicular pitch angle at resonance. Therefore a collimated detector oriented along the magnetic field should observe a decrease in total flux and an increase in particle energy. As the acceptance angle of the detector is increased, the ion saturation current

More recent loading measurements have been made using a 20 kW helicon discharge. The measurements in Figure 10 show very good loading,  $\sim 2\Omega$ , which implies a corresponding high coupling efficiency, as shown in Figure 11. This high loading impedance was obtained with a sizable,  $\sim 1$  cm plasma-antenna gap. Maximum in the loading occurred at  $f/f_{ci} = 0.95$ , indicating that the antenna was launching a more propagating wave than it was in the low density case.

### C. Low Density Plasma

The earliest convincing results were obtained with a 3 kW helicon discharge using a "Boswell" type helicon antenna during the summer of 2003<sup>3, 4, 42-44</sup>. The effect of the ICRH heating is expected to be an increase in the component of the ion velocity perpendicular to the

should start to show an increase when the acceptance cone includes the peak in the pitch angle distribution. Low-density data from 2003 have been reported previously and will not be discussed here.

#### D. Higher Density Plasma

##### 1. 8.3 kW helicon discharge

Since March, 2004, the primary efforts of the VASIMR team have concentrated on improving ionization efficiency. This focus on the helicon has meant that the density and total ion flux of the plasma available to be heated has steadily increased without a corresponding increase in ICRH power. Figure 12 shows the effect of applying 1.3 kW to deuterium plasma produced by the Boswell antenna operating at 8.3 kW. The gas input rate was 100 sccm. The figure shows a time series of plasma parameters obtained from least squares fitting a single drifting Maxwellian to each separate RPA sweep obtained during one shot. The shot sequence was initiated at 2009:45.0 UT. ICRH was turned on at 45.9s. The data show that the application of ICRH doubled the plasma flow speed. The observed speed corresponds to an  $I_{sp} \sim 9000$  s.

The fundamental dynamical quantity is the ion velocity phase space distribution function,  $F$ . The distribution functions for both the ICRH-on and ICRH-off conditions shown in Figure 12 are plotted in Figure 13, measured at 0° pitch angle. This figure shows that in the case of deuterium, charge exchange losses between the ICRH antenna and the RPA do not obscure the effect of ICRH, in contrast to the case of helium. In Figure 13, it is clear that the entire plasma has been accelerated, with very little left behind.

A bi-Maxwellian model representation has been used to fit the data taken during a scan of the location of the ICRH resonance region with respect to the antenna<sup>57-60</sup>. Increasing the current to the mirror field magnet, which increases the magnetic induction at the mirror thus moving the resonance downstream, performs this scan. Moving the resonance away from the antenna significantly reduces the plasma loading of the antenna, which means that less rf power is being fed to the plasma. The results of this experiment, interpreted using the bi-Maxwellian approach, are shown in Figure 15. There are several points to be made concerning the results shown in Figure 15. The best loading and coupling is achieved when the resonance is located under the antenna. The velocity of the “slow” component is still in excess of 70 km/s near optimum. The density of the faster component exceeds the slow component density, and the estimated output power increase corresponds to or exceeds model estimates for the antenna configuration at that time. These models predicted an ion coupling efficiency of 40-50% at this plasma density.

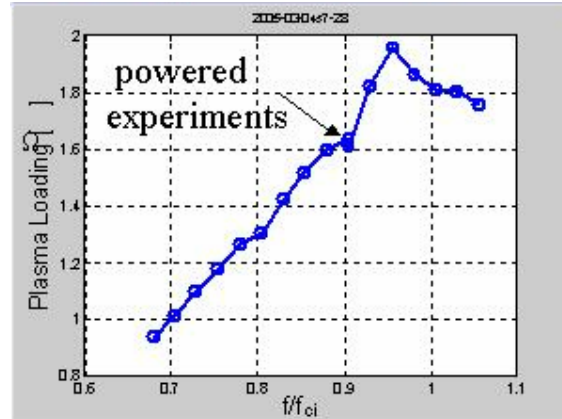


Figure 10. Plasma loading as a function of ICRH frequency for a high density deuterium plasma produced by a 20 kW helicon discharge.

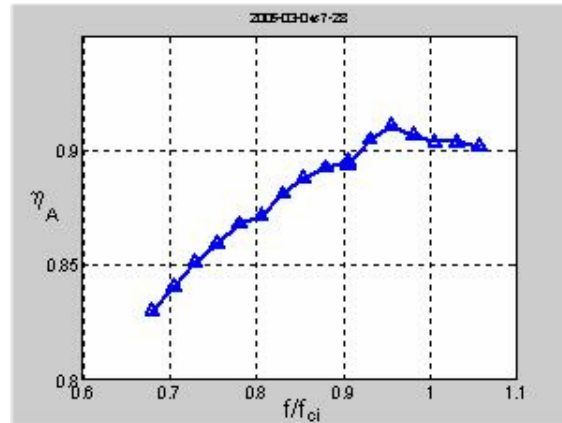


Figure 11. Antenna efficiency corresponding to the data in Fig. 10.

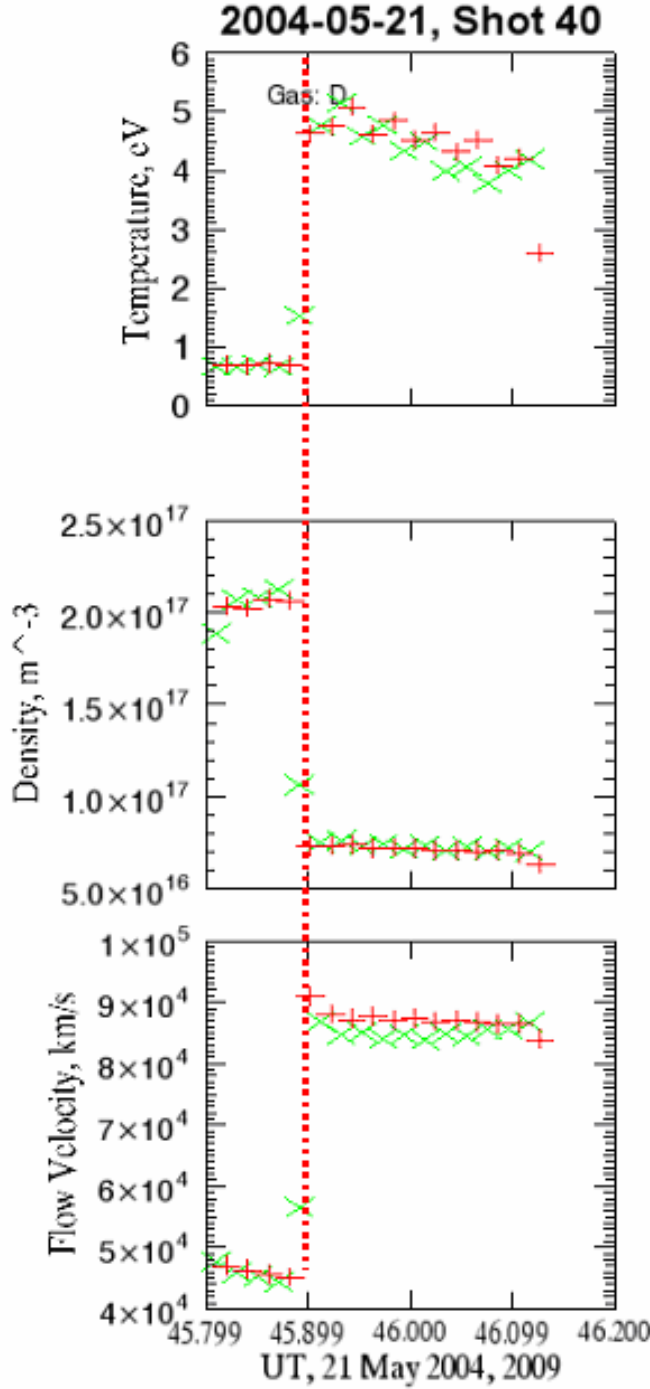


Figure 12. Fit parameters obtained by least squares fitting a drifting Maxwellian representation to each voltage sweep obtained by the wide angle RPA during a pulsed ICRH helium plasma shot. From top to bottom, the panels show ion drift velocity, ion density and ion temperature in the frame of the beam. The plusses and X's show up and down sweeps. The vertical dashed line show the time when the ICRH turned on.

The next example of an ICRH experiment that will be presented is a radial scan of the RPA during both ICRH ion and off conditions. The results have been interpreted using a single Maxwellian and are shown in Figure 14. This experiment was performed with a 10 kW helical antenna discharge. Under these conditions, the plasma loading of the ICRH antenna had been increased from  $\sim 0.2 \Omega$  to  $\sim 2 \Omega$ , resulting in an increase in antenna efficiency to  $\sim 0.89$ .

These results can be integrated to estimate the output power and momentum flux in the exhaust plume. Using the ion flow rate determined by the triple probe, which has a more accurate absolute calibration than the RPA, the power balance corresponds well to model predictions. The measured power input to the ion flux was found to have been

$$P_i = \frac{1}{2} \dot{m}_i (v_{ICRH}^2 - v_{helicon}^2) =$$

$$430 \text{ to } 591 \text{ W.} \quad (6)$$

Given a coupling efficiency at the time when Figs. 13 and 14 were obtained of

$$\eta_B = \frac{P_i}{P_{plasma}} = 36 \text{ to } 49\%, \quad (7)$$

the momentum flux output of the device during these particular runs was estimated to have been:

$$\dot{m}_i v_{ICRH} = 14 \text{ to } 19 \text{ mN} \quad (8)$$

$$\dot{m}_i v_{helicon} = 7 \text{ to } 10 \text{ mN} \quad (9)$$

The momentum flux inferred from the RPA and triple probe data agrees with the results of a direct momentum flux measurement with a mechanical probe in that the momentum flux with ICRH-on is observed to double. The absolute value of the momentum flux inferred from the RPA and probe measurements is hard to compare directly with the momentum flux probe owing to the fact that the flux probe paddle does not intercept the entire plasma.

## 2. 20 kW helicon discharge

The most recent improvements in the helicon discharge have both increased the available power to 20 kW and reduced the energy cost per ion to ~200eV per ion pair. These improvements have combined to give nearly an order of magnitude increase in total ion flux. On the other hand, because of the emphasis on helicon improvements, available ICRH power has stayed constant at 1.5 kW. Conservation of energy considerations require that we should observe a substantial reduction in the energy increase per ion obtained with constant power ICRH provided the damping is not so severe as to prevent ICRH wave power from penetrating the entire plasma column. Figure 16 compares the ion energy distributions detected by the RPA in a 20 kW deuterium discharge showing the effect of the ICRH. The figure shows clear evidence of ion acceleration by a mere 1.5 kW of ICRH.

The observed energy increase was 17 eV/ion, yielding plasma with more than twice the bulk kinetic energy obtainable with the helicon alone.

Full ion velocity phase space distribution functions can be obtained by rotating the RPA, shot-to-shot<sup>61, 62</sup>. Two dimensional color contour plots of a planar cut through the distribution function are shown in Figure 17 for ICRH-off and Figure 18 for ICRH-on. Since a pitch angle of 90° at the location of the maximum field intensity maps to a pitch angle of 10° at the location of the RPA, all of the ions in both distributions that have pitch angles  $\Theta > 10^\circ$  have been scattered, presumably by an ion-neutral collisions. There is a clear, visible difference between the two distributions, indicating that the ICRH has accelerated the entire plasma. The reduction in the maximum value of the distribution function in the ICRH on case corresponds to a 0.55 density drop. This decrease is consistent with the results of simultaneous interferometer observations.

The total ion flux during these shots was measured using a number of independent methods, including interferometers, reciprocating triple probe and an array of small planar Langmuir probes. The radial density profiles determined by the array of small Langmuir probes for both ICRH-on and ICRH-off conditions comparable to those shown in Figs. 16-18 are shown in Figure 19. The plasma flux was measured well downstream (~0.7 m) of the ICRH antenna. The figure shows that the profile narrowed when ICRH was applied. However, the integrated flux does not change substantially with the application of ICRH.

A total ion flux was obtained:

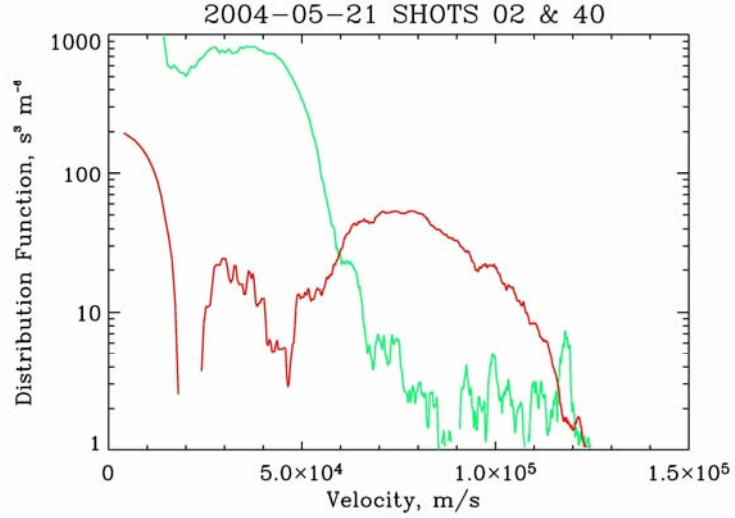
$$\Gamma_i = 3.1 \pm 0.6 \times 10^{20} \text{ s}^{-1} \quad (10)$$

As noted above, the RPA data indicate that the ICRH gave the ions a mean energy increase of:

$$W_{ICRH} = 17 \pm 2 \text{ eV} \quad (11)$$

The product of these two numbers gives the total power increase in the exhaust plume, as follows:

$$P_{ion} = \Gamma_i W_{ICRH} = 840 \pm 190 \text{ W} \quad (12)$$



**Figure 13. The ion velocity phase space distribution functions obtained during both the ICRH-on portion of the deuterium shot shown in Figure 11 and an ICRH-off shot run under otherwise identical conditions. The ICRH off curve is shown as a lighter- - colored (green) line.**

The ICRH power that has been radiated into the plasma may be estimated using the antenna efficiency,  $\eta_A=89\%$ , that was determined from the plasma loading measurements:

$$P_{plasma} = \eta_A P_{ICRH} = 1.25 \text{ kW} \quad (13)$$

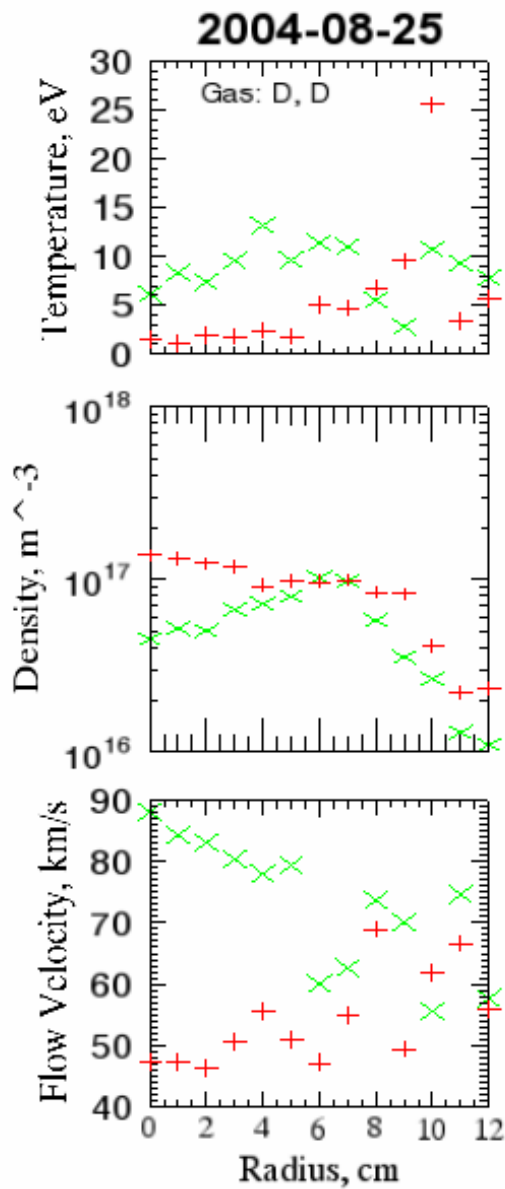


Figure 14. Fit parameters obtained by least squares fitting drifting Maxwellian representations to RPA data taken while scanning the radial location of the RPA during both ICRH-on (X's) and ICRH-off (+') conditions. Each symbol represents the average of all sweeps during a single shot.

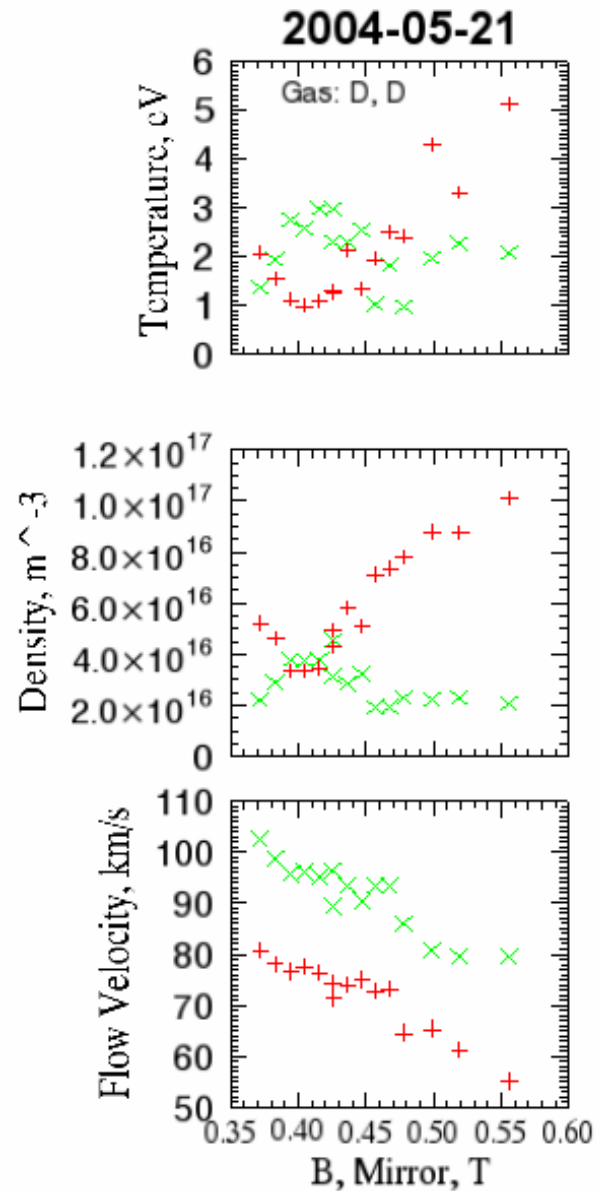


Figure 15. it parameters obtained by least squares fitting drifting bi-Maxwellian representations to the RPA data obtained during a scan of mirror magnet field intensity. From top to bottom, the panels show ion drift velocity, ion density and ion temperature in the frame of the beam. The plusses show the slow, hot component. The X's show the fast, cold accelerated component.

Finally, we obtain an estimate of the efficiency of the ICRH process, as follows:

$$\eta_{ICRH} = \frac{P_{ion}}{P_{plasma}} = 67 \pm 15\% \quad (14)$$

Absorption efficiency of this order is high enough to suggest the possibility that the ion cyclotron wave was fully damped prior to reaching the center of the plasma column. This situation was investigated by means of another RPA radial scan (Figure 20). Figure 20 indeed shows a drop in bulk flow velocity and corresponding increase in ion density in the innermost 1 cm of the plasma column. This hole would indicate that 1.5 kW is insufficient signal strength to fully illuminate the discharge that the experiment presently produces. Since the data in Figs. 16 and 18 were taken at the center of the column, it is likely that the ion energization, power deposited and ICRH efficiency estimates just presented are systematically low.

#### IV. Conclusions

Substantial progress has been made in the development of the VASIMR engine. In the past two years, the operating power level of the helicon discharge has been increased by nearly an order of magnitude, to 20-24 kW. The energy cost per ion pair has been reduced to ~200-250 eV. Plasma loading of the ICRH antenna increases with increasing total ion flux and plasma density. Using present maximum helicon discharge output, loading impedance in excess of  $2 \Omega$  is being obtained, which corresponds to  $\eta_A > 90\%$ . The challenges for the coming year are to raise the ICRH power level to 20 kW and to improve the directionality and modal purity of the ICRH antenna to the point where  $\eta_B > 80\%$ .

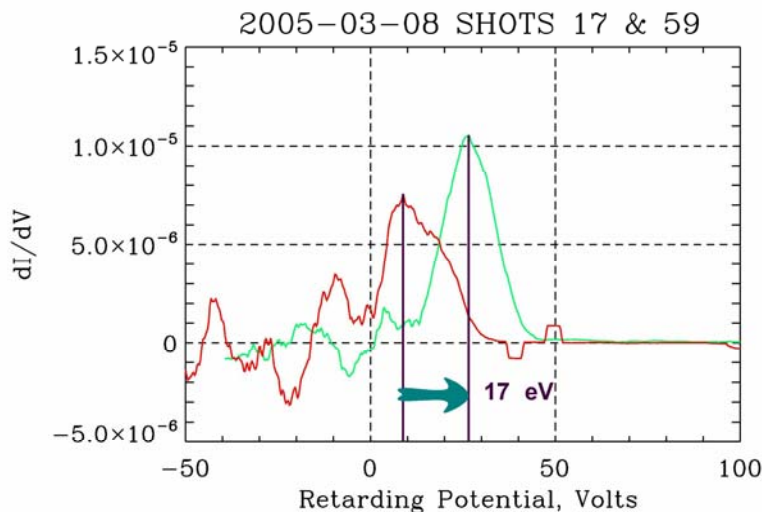


Figure 16. The first derivative of the current-voltage characteristics measured by an RPA with 30° collimation. The lower, lighter curve shows a deuterium shot without ICRH, and the upper, darker curve shows a deuterium shot with ICRH.

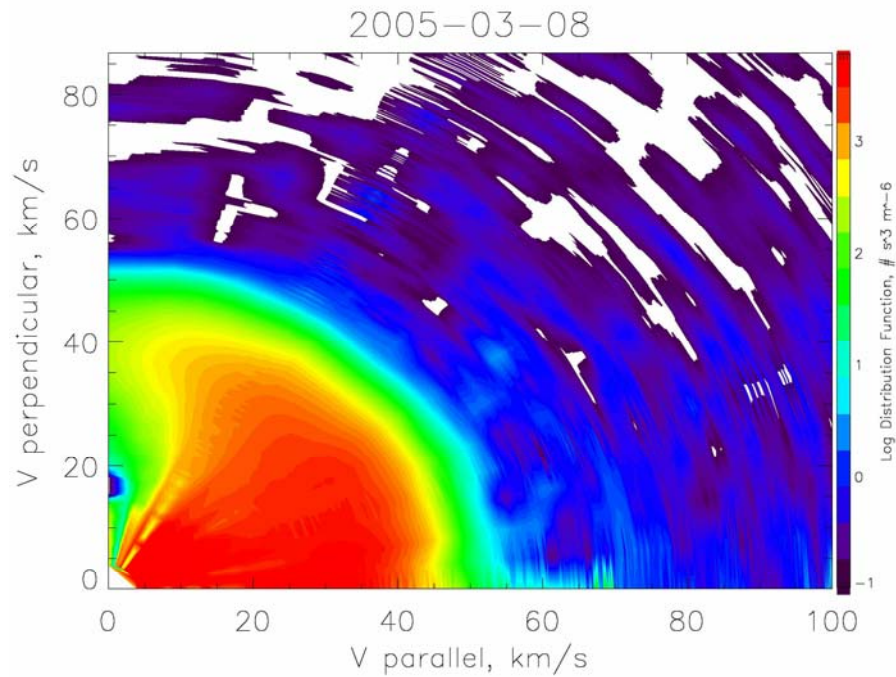


Figure 17. The ion velocity phase space distribution function obtained in a 20 kW deuterium discharge with no ICRH. The color bar scale is logarithmic.

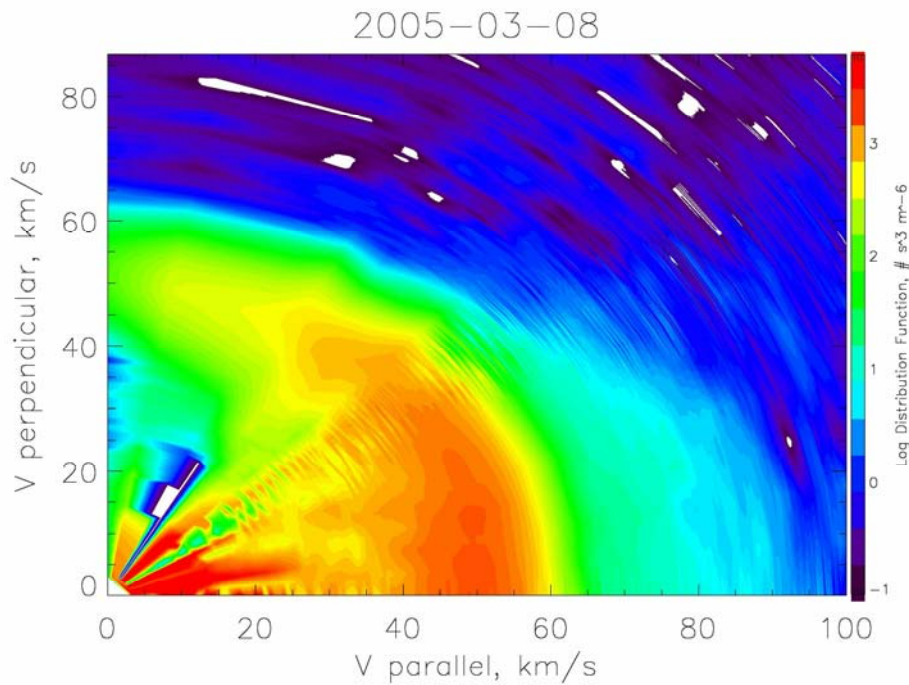


Figure 18. The ion velocity phase space distribution function obtained in a 20 kW deuterium discharge with 1.5 kW of ICRH on. The color bar scale is logarithmic.

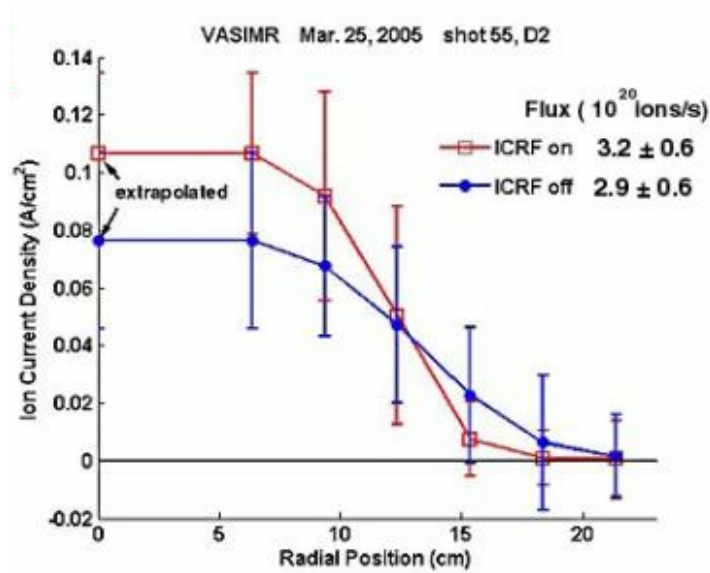


Figure 19. Ion density plotted as a function of radial position during high density discharges similar to those shown in Figs. 16-18. Density was measured by an array of ~ 1 cm diameter planar Langmuir probes. Profiles for both ICRH-off and ICRH-on are compared.

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NASA Johnson Space Center under grant NAG 9-1524, and the Texas Higher Education Coordinating Board under Advanced Technology Program project 003652-0464-1999 sponsored this research.

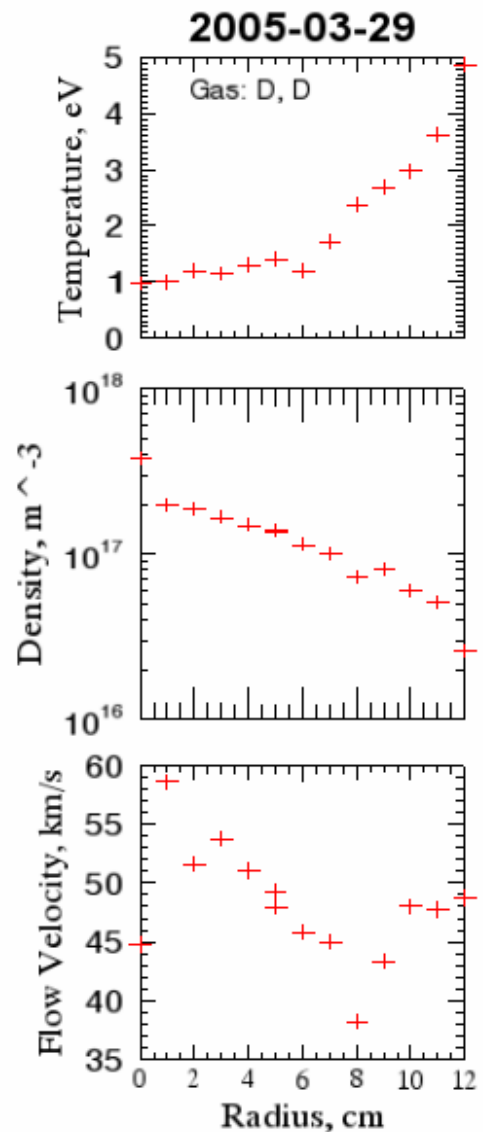


Figure 20. Fit parameters obtained by least squares fitting drifting Maxwellian representations to RPA data taken while scanning the radial location of the RPA during ICRH-on (X's) conditions during high density discharges similar to those shown in Figs. 16-18. Each symbol represents the average of all sweeps during a single shot.

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